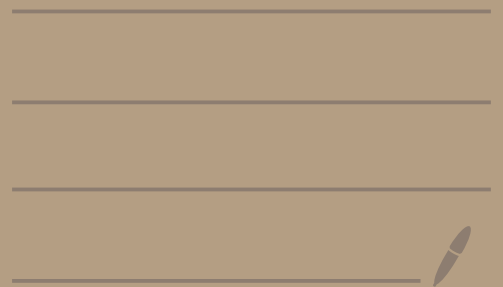


# MATH 5143 - Lecture #23

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Representation theory setup:  $L$  is a semisimple fin. dim. Lie algebra /  $\mathbb{F}$

$\mathfrak{h} \subseteq L$  is a Cartan subalgebra,  $\Phi \subset \mathfrak{h}^*$  is the root system,

$\Delta = \{\alpha_1, \alpha_2, \dots, \alpha_n\} \subset \Phi$  is a chosen base,  $\Phi^+ = \{\text{positive roots}\}$ ,

and  $W = \langle r_\alpha \mid \alpha \in \Phi \rangle = \langle r_\alpha \mid \alpha \in \Delta \rangle \subseteq GL(\mathfrak{h}^*)$

An  $L$ -module  $V$  is standard cyclic of weight  $\lambda \in \mathfrak{h}^*$  if  $\exists 0 \neq v^+ \in V$   
such that  $V = \mathcal{U}(L)v^+$  and 
$$\begin{cases} Xv^+ = 0 & \forall X \in \mathfrak{h}^+ \\ hv^+ = \lambda(h)v^+ & \forall h \in \mathfrak{h} \end{cases}$$

Thm A If  $V$  and  $W$  are irreducible standard cyclic  $L$ -modules  
with same highest weight  $\lambda \in \mathfrak{h}^*$  then  $V \cong W$

Thm B If  $\lambda \in \mathfrak{h}^*$  then there exists an irreducible  
standard cyclic  $L$ -module  $V(\lambda)$  of highest weight  $\lambda$ .

Fact If  $V$  is any irreducible  $L$ -module with  $\dim V < \infty$   
then  $V \cong V(\lambda)$  for some  $\lambda \in \mathfrak{H}^*$ .

Pf If  $\dim V < \infty$  then Lie's thm applied to  $B$ -action on  $V$   
implies existence of a maximal vector of some weight  $\lambda$ .

This vector must generate  $V$  by irreducibility, so  $V \cong V(\lambda)$  by Thm A.  $\square$

Goals for today : ① Explain when  $V(\lambda)$  is finite dim.

(and next week) : ② Determine weight spaces  $V(\lambda)_\mu \subseteq V(\lambda)$

For each simple root  $\alpha_i \in \Delta$  let  $S_i = S_{\alpha_i} = L_{-\alpha_i} \oplus \mathbb{F}h_{\alpha_i} \oplus L_{\alpha_i} \cong \mathfrak{sl}_2(\mathbb{F})$

Then  $V(\lambda)$  is a module for  $S_i$  and a maximal vector for  $L$  is also maximal for  $S_i$

Thm If  $V \cong V(\lambda)$  and  $\dim V < \infty$  then  $\lambda(h_{\alpha_i}) \in \mathbb{Z}_{\geq 0} \forall \alpha_i \in \Delta$

and if  $\mu \in \mathfrak{H}^*$  is any weight for  $V$  then  $\mu(h_{\alpha_i}) \in \mathbb{Z} \forall \alpha_i \in \Delta$

Pf sketch Follows from  $\mathfrak{sl}_2$ -repn theory, as  $V$  decomposes as sum of fin. dim  
irr.  $S_i$ -modules.

Call  $\lambda \in \mathfrak{H}^*$   $\left\{ \begin{array}{l} \text{dominant if } \lambda(h_\alpha) > 0 \quad \forall \alpha \in \Delta \text{ (equiv. } \forall \alpha \in \Phi^+) \\ \text{integral if } \lambda(h_\alpha) \in \mathbb{Z} \quad \forall \alpha \in \Delta \text{ (equiv. } \forall \alpha \in \Phi) \end{array} \right.$

Then  $\lambda \in \mathfrak{H}^*$  is dominant integral if  $\lambda(h_\alpha) \in \mathbb{Z}_{\geq 0} \quad \forall \alpha \in \Delta$

Let  $\Lambda$  be abelian group of integral weights and  $\Lambda^+$  the subset of dominant integral weights. Note that  $\Lambda \supset \Phi$ .

For an  $L$ -module  $V$  let  $\Pi(V) \subseteq \mathfrak{H}^*$  be its set of weights and define  $\Pi(\lambda) = \Pi(V(\lambda))$ . **If  $\dim V < \infty$  then  $\Pi(\lambda) \subset \Lambda$ .**

Next main thm Suppose  $\lambda \in \Lambda^+$ . Then  $V(\lambda)$  has finite dimension and the Weyl group  $W \in GL(\mathfrak{H}^*)$  permutes  $\Pi(\lambda)$  with  $\dim V(\lambda)_\mu = \dim V(\lambda)_{\sigma\mu} \quad \forall \sigma \in W$ .

Cor The map  $\lambda \mapsto V(\lambda)$  is a bijection from  $\Lambda^+$  to isomorphism classes of irreducible fin. dim.  $L$ -modules. Pf Combine main thm with fact and thm on prev slide  $\square$  (along with Thm A)



# Pf sketch of main thm

Some identities in  $U(\mathfrak{g})$ : writing  $X_i = X_{\alpha_i}$ ,  $Y_i = Y_{\alpha_i}$ , and  $h_{\alpha_i} = [X_i, Y_i]$  for  $\alpha_i \in \Delta$

$$(a) [X_j, Y_i^{k+1}] = 0 \text{ when } i \neq j, k \geq 0$$

$$(b) [h_j, Y_i^{k+1}] = -(k+1) \alpha_i(h_j) Y_i^{k+1} \quad (k \geq 0)$$

$$(c) [X_i, Y_i^{k+1}] = -(k+1) Y_i^k (k - h_i) \quad (k \geq 0)$$

Straightforward algebra by induction on  $k \geq 0$ .

Now we derive a series of claims.

Claim (1)  $Y_i^{m_i+1} v^+ = 0$  where  $m_i = -1(h_i) \in \mathbb{Z}_{\geq 0}$ , and  $v^+ \in V = V(\mathfrak{g})$  is a highest weight vector.

Pf otherwise can use (a)-(c) to show that  $Y_i^{m_i+1} v^+$  is a second maximal vector of weight  $\neq \lambda$  which is impossible  $\square$

Claim (2)  $V$  contains a nonzero fin. dim.  $S_i = S_{\alpha_i} \cong \mathfrak{sl}_2(\mathbb{C})$ -module

Pf Consider subspace spanned by  $v^+, y_1 v^+, y_1^2 v^+, \dots$

This is finite-dim by claim (1).  $\square$

Claim (3)  $V$  is a sum of finite-dim  $S_i$ -modules

Pf Let  $V'$  be the sum of all  $S_i$ -submodules of finite dim in  $V$

Then  $V' \neq 0$  by claim (2). Check that  $V'$  is an  $L$ -module, hence  $V' = V$  since  $V$  irreducible.  $\square$   
 $\hookrightarrow$  use (a)(b)(c)

Claim (4) If  $\phi: L \rightarrow \mathfrak{gl}(V)$  is repn corresp. to  $L$ -module structure on  $V$  then  $\phi(x_i)$  and  $\phi(y_i)$  are both locally nilpotent (meaning nilpotent when restricted to a finite-dim subspace)

Pf Each  $v \in V$  is in a finite sum of fin. dim.  $S_i$ -modules, on which  $\phi(x_i), \phi(y_i)$  act as nilpotent operators, by  $\mathfrak{sl}_2$ -repn theory.  $\square$

Claim (5) Define  $\sigma_i \stackrel{\text{def}}{=} \exp(x_i) \exp(-y_i) \exp(x_i)$ .

This is an automorphism of  $V$  (as a vector space)

Pf Just need to check that  $\sigma_i$  is well-defined, but this follows from prev claim.  $\square$

Claim (6) If  $\mu$  is a weight of  $V$  then  $\sigma_i(V_\mu) = V_\nu$

for  $\nu \stackrel{\text{def}}{=} r_{\alpha_i}(\mu)$  with  $r_\alpha \in W$  the usual reflection.

*by structure thm for standard cyclic modules*

Pf Follows from  $sl_2$ -repn theory since  $V_\mu$  is fin-dim  $S_i$ -submod, see § 7.2 in textbook for explicit argument.  $\square$

Claim (7) If  $\mu \in \Pi(V) = \Pi(\mathfrak{g})$  and  $w \in W$  then  $w(\mu) \in \Pi(\mathfrak{g})$   
and  $\dim V_{w(\mu)} = \dim V_\mu$

Pf Immediate from Claim (6) as  $W = \langle r_{\alpha_i} \mid \alpha_i \in \Delta \rangle$   $\square$

Claim (8)  $\Pi(-1)$  is finite

Pf  $\Pi(-1)$  is a subset of the set of  $w$ -conjugates of all dominant integral  $\mu \in H^*$  with  $\mu \leq -1$  by claim (7) and structure thm of standard cyclic modules. Results in chapter 13 of textbook imply this set is finite.  $\square$

Claim (9)  $\dim V < \infty$  since  $\Pi(V) = \Pi(-1)$  is finite and each  $\mu \in \Pi(-1)$  has  $\dim V_\mu < \infty$   $\square$

$\square$

Multiplicity formula Fix  $\lambda \in \Lambda^+$ . Then  $V(\lambda)$  is fin. dim. irreducible.

For  $\mu \in \mathfrak{H}^*$  let  $m_\lambda(\mu) \stackrel{\text{def}}{=} \dim V(\lambda)_\mu \in \mathbb{Z}_{\geq 0}$

This is zero if  $\mu \notin \Pi(\lambda)$ . Call  $m_\lambda(\mu)$  the multiplicity of  $\mu$  in  $V(\lambda)$ .

If  $\mu \in \mathfrak{H}^*$  and  $\mu \notin \Lambda$  then  $\mu \notin \Pi(\lambda)$  so  $m_\lambda(\mu) = 0$ .

Thm (Freudenthal's formula) If  $\mu \in \Lambda$  and  $\delta = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha$  then

$$\left( (\lambda + \delta, \lambda + \delta) - (\mu + \delta, \mu + \delta) \right) m_\lambda(\mu) = 2 \sum_{\alpha \in \Phi^+} \sum_{i=1}^{\infty} m_\lambda(\mu + i\alpha) (\mu + i\alpha, \alpha)$$

and this formula provides an effective algorithm to compute  $m_\lambda(\mu)$ .

key point (nontrivial, see § 22 of textbook): if  $\lambda \neq \mu$  then  $\|\lambda + \delta\|^2 \neq \|\mu + \delta\|^2$

minor point (trivial):  $m_\lambda(\lambda) = 1$

so can divide both sides by this number

Formal characters want to assign to each fin. dim.  $L$ -module a vector (similar to character of a group repn) that identifies its isomorphism class.

Notation let  $\mathbb{Z}[\Lambda]$  be the free  $\mathbb{Z}$ -module with basis given by symbols  $\{e^\lambda \mid \lambda \in \Lambda\}$  and make this additive group into a ring by setting  $e^\lambda e^\mu = e^{\lambda+\mu}$ . Here  $\Lambda \subset H^*$  is the infinite set of integral weights, including  $0 \in \Lambda$ .

Def If  $\lambda \in \Lambda^+$  then the formal character of  $V \cong V(\lambda)$

$$\text{is } \text{ch}_V = \text{ch}_\lambda \stackrel{\text{def}}{=} \sum_{\mu \in \Pi(\lambda)} m_\lambda(\mu) e^\mu \in \mathbb{Z}[\Lambda].$$

If  $V$  is arb. finite dim.  $L$ -module then  $V$  has unique decomp.

$$V \cong V(\lambda_1) \oplus V(\lambda_2) \oplus \dots \oplus V(\lambda_k) \text{ with each } \lambda_i \in \Lambda^+ \text{ and we set } \text{ch}_V = \sum_{i=1}^k \text{ch}_{\lambda_i}$$

Ex If  $L = \mathfrak{sl}_2(\mathbb{F})$  then  $ch_\lambda = e^\lambda + e^{\lambda-\alpha} + e^{\lambda-2\alpha} + \dots + e^{\lambda-m\alpha}$   
where  $m = \langle \lambda, \alpha \rangle$  [Here  $\alpha = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$ ,  $\lambda = \begin{bmatrix} \lambda_1 \\ \lambda_2 \end{bmatrix}$ ,  $m = \lambda_1 - \lambda_2$ ]

Weyl group  $W$  acts on  $\mathbb{Z}[\Lambda]$  by

$$w \cdot \left( \sum_{\mu \in \Lambda} c_\mu e^\mu \right) = \sum_{\mu \in \Lambda} c_\mu e^{w(\mu)} \quad \text{where } c_\mu \in \mathbb{Z}$$

Cor  $ch_\lambda$  is fixed by every  $w \in W$ . Pf  $m_\lambda(\mu) = m_\lambda(w(\mu)) \forall w \in W$ .

Prop If  $f \in \mathbb{Z}[\Lambda]$  is fixed by all  $w \in W$  then  $f$  has unique expansion as a finite linear combination of formal characters  $ch_\lambda$  for  $\lambda \in \Lambda^+$ .

Pf idea: write  $f = \sum_{\lambda \in \Lambda} c_\lambda e^\lambda$  with  $c_\lambda \in \mathbb{Z}$

all but finitely many  $c_\lambda$ 's must be zero. Find a maximal  $\lambda \in \Lambda^+$  with  $c_\lambda \neq 0$ , form  $g = f - c_\lambda ch_\lambda$ , and argue that you may conclude by induction that  $g$  has desired expansion.  $\square$

↓ need more to deduce uniqueness (exercise)

Prop Suppose  $V$  and  $W$  are both finite-dim.  $L$ -modules

Then  $ch_{V \otimes W} = ch_V ch_W$ . [Recall how  $V \otimes W$  is an  $L$ -module:

$$X \cdot (v \otimes w) = Xv \otimes w + v \otimes Xw \text{ for } \begin{matrix} v \in V \\ w \in W \\ X \in L \end{matrix}$$

Pf straightforward exercise.  $\square$