This document is a **transcript** of the lecture, so is more like an abbreviated set of lecture slides than complete lecture notes. For the latter, **consult the textbook** listed on the course webpage.

# 1 Review from last time

In the last lecture we defined *semisimple representations*, which are representations isomorphic to direct sums of irreducible representations.

**Notation.** If  $V_1, V_2, \ldots, V_n$  are vector spaces, then we view elements of the direct sum  $V_1 \oplus V_2 \oplus \cdots \oplus V_n$  either as tuples  $(v_1, v_2, \ldots, v_n)$  or as row vectors  $\begin{bmatrix} v_1 & v_2 & \ldots & v_n \end{bmatrix}$  where each  $v_i \in V_i$ .

Assume A is an algebra defined over an algebraically closed field K.

We proved the following technical result last time:

**Proposition 1.1.** Suppose  $V_1, V_2, \dots, V_m$  are irreducible, pairwise non-isomorphic, finite-dimensional A-representations. Choose positive integers  $n_1, n_2, \dots, n_m$  and define  $V = \bigoplus_{i=1}^m V_i^{\oplus n_i}$ . Then any sub-representation W of V has  $W \cong \bigoplus_{i=1}^m V_i^{\oplus r_i}$  for some integers  $0 \le r_i \le n_i$ , and there is an isomorphism

$$\phi: \bigoplus_{i=1}^m V_i^{\oplus r_i} \xrightarrow{\sim} W$$

that sends  $x = \left[\underbrace{x_{11} \ x_{12} \ \cdots \ x_{1r_1}}_{\in V_1} \underbrace{x_{21} \ x_{22} \ \cdots \ x_{2r_2}}_{\in V_2} \cdots\right] \in \bigoplus_{i=1}^m V_i^{\oplus r_i}$  to  $xM \in W$ , where M is a full rank, block diagonal matrix with entries in K, whose successive blocks have size  $r_i \times n_i$  for  $i = 1, 2, \ldots, m$ .

Here are two consequences of this proposition:

- If V is an irreducible finite dimensional A-representation and  $v_1, v_2, \ldots, v_n \in V$  are linearly independent, then the map  $a \mapsto (av_1, \ldots, av_n)$  is a surjection  $A \to V^{\oplus n}$ .
- **Density theorem:** If  $(V, \rho) = \bigoplus_{i=1}^{r} (V_i, \rho_i)$  is a direct sum of pairwise non-isomorphic, irreducible, finite dimensional A-representations, then  $\bigoplus_{i=1}^{r} \rho_i : A \to \bigoplus_{i=1}^{r} \mathsf{End}(V_i)$  is surjective.

### 2 Matrix algebras

We have already seen that the algebra of all  $n \times n$  matrices over K has a unique isomorphism class of irreducible representations. We can generalize this to block diagonal matrix algebras.

Choose integers  $d_1, d_2, \ldots, d_r > 0$ .

Let  $A = \bigoplus_{i=1}^{r} \mathsf{Mat}_{d_i}(K)$  where we define  $\mathsf{Mat}_d(K)$  to be the algebra of  $d \times d$  matrices over K.

Set  $n = \sum_{i=1}^{r} d_i$ . Then we can view A as the subalgebra of  $Mat_n(K)$  consisting of all block diagonal matrices with successive blocks of size  $d_i \times d_i$ .

The vector space  $K^n$  is automatically an A-representation. We construct a sequence of sub-representations:

Let  $V_1 \subseteq K^n$  be the subspace of vectors with zeros outside rows  $1, 2, \ldots, d_1$ 

Let  $V_2 \subseteq K^n$  be the subspace of vectors with zeros outside rows  $d_1 + 1, d_1 + 2, \dots, d_1 + d_2$ .

Let  $V_3 \subseteq K^n$  be the subspace of vectors with zeros outside rows  $d_1 + d_2 + 1, d_1 + d_2 + 2, \dots, d_1 + d_2 + d_3$ .

Define  $V_4, \ldots, V_r$  analogously, so  $V_r \subseteq K^n$  is the subspace of vectors with zeros outside the last  $d_r$  rows. As vector spaces, we have  $V_i \cong K^{d_i}$ . **Theorem 2.1.** In this setup, each  $V_i$  is an irreducible A-representation, and every finite-dimensional A-representation is isomorphic to a direct sum of zero or more copies of  $V_1, V_2, \ldots, V_r$ .

Before proving this theorem, we introduce another definition.

**Definition 2.2.** Suppose  $(V, \rho)$  is an A-representation.

Let  $V^*$  be the vector space of all K-linear maps  $\lambda: V \to K$ .

Then let  $\rho^* : A \to \mathsf{End}(V^*)$  be the linear map defined by

$$\rho^*(a)(\lambda) : x \mapsto \lambda(\rho(a)(x)) \text{ for } a \in A \text{ and } \lambda \in V^*.$$

We refer to the pair  $(V^*, \rho^*)$  as the *dual* of  $(V, \rho)$ .

It is a representation of the opposite algebra  $A^{op}$ .

**Fact 2.3.** For  $A = \bigoplus_{i=1}^{r} \operatorname{Mat}_{d_i}(K) \subseteq \operatorname{Mat}_n(K)$ , the usual matrix transpose map  $X \mapsto X^{\top}$  is an algebra isomorphism  $A \cong A^{\operatorname{op}}$ .

Given a linear map between vector spaces  $L: V \to W$ , define  $L^*: W^* \to V^*$  by  $L^*(f) = f \circ L$ .

Fact 2.4. If L is injective then  $L^*$  is surjective, and if L is surjective then  $L^*$  is injective.

Proof of Theorem 2.1. It is easy to see that each  $V_i$  is an irreducible A-representation, as each nonzero element of  $V_i$  is cyclic for the action of A.

Let X be some finite m-dimensional representation of A where  $m < \infty$ .

Then  $X^*$  is representation of  $A^{\mathsf{op}} \cong A$ .

In other words,  $X^*$  can be viewed as an A-representation for the action

$$a \cdot \lambda : x \mapsto \lambda(a^{\top}x) \quad \text{for } x \in X, \ \lambda \in X^*, \ a \in A$$

Choose a basis  $\{\lambda_1, \ldots, \lambda_m\}$  for X<sup>\*</sup>. Then let  $\phi : A \oplus A \oplus \cdots \oplus A = A^{\oplus m} \to X^*$  be the map

$$\phi(a_1, a_2, \dots, a_m) = a_1\lambda_1 + a_2\lambda_2 + \dots + a_m\lambda_m.$$

Because  $K \subset A$ , this map is surjective. Therefore, the dual map  $\phi^* : X \to (A^{\oplus m})^*$  is injective.

Key claim: The A-representations  $(A^{\oplus m})^*$  and  $A^{\oplus m}$  are isomorphic.

If we can prove this, then it will follow that X is isomorphic to a subrepresentation of  $A^{\oplus m}$ . As we have  $A \cong \bigoplus_{i=1}^{r} V_i^{\oplus d_i}$  as A-representations (the isomorphism is provided by viewing a matrix as a tuple of column vectors), we would then get

$$X \cong \left( \text{ a subrepresentation of } A^{\oplus m} \cong \bigoplus_{i=1}^r V_i^{\oplus md_i} \right),$$

which by our technical proposition would imply that  $X \cong \bigoplus_{i=1}^{r} V_i^{\oplus s_i}$  for some integers  $s_i \ge 0$  as desired.

We will only explain the m = 1 case of the key claim.

Let A act on  $A^*$  by  $a \cdot \lambda : x \mapsto \lambda(a^{\top}x)$  for  $a \in A$  and  $\lambda \in A^*$ . Define  $\Theta : A \to A^*$  to be the linear map

$$\Theta: a \mapsto (x \mapsto \operatorname{tr}(a^{\top}x)).$$

Then  $\Theta$  is a bijection since it is a nonzero linear map with trivial kernel between finite-dimensional vector spaces of the same dimension. It is also a homomorphism of A-representations since we have

$$\Theta(gh)(x) = \operatorname{tr}(h^{\top}g^{\top}x) = \Theta(h)(g^{\top}x) = (g \cdot \Theta(h))(x) \quad \text{for } g, h, x \in A,$$

which implies that  $\Theta(gh) = g \cdot \Theta(h)$ . Thus  $\Theta : A \xrightarrow{\sim} A^*$  is an isomorphism of A-representations.

## 3 Filtrations

Continue to let A be an algebra. Suppose V is an A-representation.

**Definition 3.1.** A *filtration* of V is a finite, increasing sequence of subspaces

$$0 = V_0 \subset V_1 \subset \cdots \subset V_n = V$$

where each  $V_i$  is sub-representation of V.

**Lemma 3.2.** If dim  $V < \infty$  then V has a filtration in which each quotient  $V_i/V_{i-1}$  is an irreducible A-representation.

*Proof.* We argue by induction on  $\dim V$ .

If dim  $V \leq 1$  then the result is trivial: just take n = 1 and  $V_n = V$ .

Assume dim V > 1 and choose any irreducible subrepresentation  $V_1 \subset V$ .

Then let  $U = V/V_1$ . By induction there is a filtration

$$0 = U_0 \subset U_1 \subset \cdots \subset U_{n-1} = U$$

in which each quotient  $U_i/U_{i-1}$  is irreducible.

Let  $V_i$  be the preimage of  $U_{i-1}$  under the quotient map  $V \to V/V_1 = U$ . Then

$$0 = V_0 \subset V_1 \subset \cdots \subset V_n = V$$

gives the desired filtration, since  $V_i/V_{i-1} \cong (V_i/V_1)/(V_{i-1}/V_1) = U_{i-1}/U_{i-2}$  for i > 1.

#### 3.1 Radicals of finite-dimensional algebras

Assume that A is an algebra with dim  $A < \infty$ .

**Definition 3.3.** The *radical* of A is the set of elements  $a \in A$  that act as zero in every irreducible representation of A. Let Rad(A) denote this set of elements.

**Proposition 3.4.** The set Rad(A) is a two-sided ideal of A.

*Proof.* The set  $\mathsf{Rad}(A)$  is a subspace of A since if  $(V, \rho)$  is a representation then

$$\rho(x)=0\implies \rho(cx)=c\rho(x)=0 \quad \text{and} \quad \rho(x)=0=\rho(y)\implies \rho(x+y)=\rho(x)+\rho(y)=0$$

for all  $x, y \in A$  and  $c \in K$ . It is also a two-sided ideal since if  $a, b \in A$  then

$$\rho(x) = 0 \implies \rho(axb) = \rho(a)\rho(x)\rho(b) = 0.$$

Let I be a two-sided idea in A. For integers  $n \ge 1$ , let  $I^n = K$ -span $\{x_1 x_2 \cdots x_n : x_i \in I\}$ .

We say that I is *nilpotent* if  $I^n = 0$  for some n > 0.

For example, the subspace of strictly upper triangular matrices is a nilpotent ideal in the algebra of all upper triangular  $n \times n$  matrices over K.

**Proposition 3.5.** If I is a nilpotent two-sided ideal in A then  $I \subseteq \mathsf{Rad}(A)$ .

*Proof.* Suppose I is a nilpotent two-sided ideal with  $I^n = 0$ . Choose any irreducible A-representation V and pick  $0 \le v \in V$ . Then the subspace  $Iv \stackrel{\text{def}}{=} \{xv : x \in I\}$  is a subrepresentation. If Iv = V then there is some  $x \in I$  with xv = v, which is impossible as  $x^n = 0$ . Therefore Iv = 0 as it is a proper subrepresentation of an irreducible representation. Since V was arbitrary, it follows that  $I \subseteq \text{Rad}(A)$ .  $\Box$ 

The following shows that Rad(A) is precisely the largest nilpotent two-sided ideal in A.

**Proposition 3.6.** Rad(A) is a nilpotent two-sided ideal.

*Proof.* Since dim  $A < \infty$ , the previous section shows that there exists a filtration of the regular representation  $0 = A_0 \subset A_1 \subset \cdots \subset A_n = A$  in which each quotient  $A_i/A_{i-1}$  is irreducible as an A-representation. Each  $x \in \mathsf{Rad}(A)$  acts as zero on  $A_i/A_{i-1}$ , which means that  $xA_i \subset A_{i-1}$ .

Therefore if  $x_1, x_2, \dots \in \mathsf{Rad}(A)$  then  $x_1 x_2 \cdots x_i A \subset A_{n-i}$  and  $x_1 x_2 \cdots x_n A = 0$ . Hence  $\mathsf{Rad}(A)^n = 0$ .  $\Box$ 

## 4 Representations of finite-dimensional algebras

As a final application today, we can "classify" all representations of finite-dimensional algebras.

**Theorem 4.1.** Suppose A is a finite-dimensional algebra. Then A has finitely many isomorphism classes of irreducible representations  $V_1, V_2, \ldots, V_r$  and  $A/\mathsf{Rad}(A) \cong \bigoplus_{i=1}^r \mathsf{End}(V_i)$  as K-algebras. Moreover, every irreducible A-representation is finite-dimensional.

Notice that since dim  $V_i$  is finite, we have  $\operatorname{End}(V_i) \cong \operatorname{Mat}_d(K)$  for  $d = \dim V_i$ .

Therefore  $A/\mathsf{Rad}(A)$  is isomorphic to a block diagonal matrix algebra of the form considered earlier today.

*Proof.* Suppose V is an A-representation.

If  $0 \neq x \in V$  then Ax is a nonzero subrepresentation of dimension at most dim  $A < \infty$ .

Therefore, if V is irreducible then we must have V = Ax and  $\dim V \leq \dim A < \infty$ .

Now suppose  $(V_1, \rho_1), \ldots, (V_r, \rho_r)$  are pairwise non-isomorphic, irreducible A-representations.

By the *density theorem*, the direct sum

$$\phi = \bigoplus_{i=1}^r \rho_i : A \to \bigoplus_{i=1}^r \operatorname{End}(V_i)$$

is a surjective map. Since each  $End(V_i)$  has dimension  $(\dim V_i)^2$ , we have

$$r \le \sum_{i=1}^{r} (\dim V_i)^2 \le \dim A < \infty$$

Thus r cannot be arbitrarily large, so the number of distinct isomorphism classes of irreducible A-representations is finite and at most dim A.

Finally assume r is maximal above, so that every irreducible A-representation is isomorphic to some  $V_i$ . Then  $\mathsf{Rad}(A) = \ker(\phi)$  so  $\phi$  passes to an isomorphism  $A/\mathsf{Rad}(A) \cong \bigoplus_{i=1}^r \mathsf{End}(V_i)$ .

**Corollary 4.2.** If  $V_1, V_2, \ldots, V_r$  are pairwise non-isomorphic irreducible representations of a finitedimensional algebra A then  $\sum_{i=1}^{r} (\dim V_i)^2 \leq \dim A$ .