

This document is a **transcript** of the lecture, so is more like an abbreviated set of lecture slides than complete lecture notes. For the latter, **consult the textbook** listed on the course webpage.

## 1 Review from last time

Let  $\mathbb{K}$  be an algebraically closed field.

For integers  $n > 0$ , let  $\text{Mat}_n(\mathbb{K})$  be the algebra of  $n \times n$  matrices over  $\mathbb{K}$ .

Remember that if  $V$  is an  $n$ -dimensional  $\mathbb{K}$ -vector space, then  $\text{End}(V) \cong \text{Mat}_n(\mathbb{K})$ .

**Theorem 1.1.** Suppose  $A = \bigoplus_{i=1}^r \text{Mat}_{d_i}(\mathbb{K})$  for some integers  $d_1, d_2, \dots, d_r > 0$ . For each index  $i \in \{1, 2, \dots, r\}$ ,  $A$  has an irreducible representation  $V_i$  of dimension  $d_i$ , and every finite-dimensional representation of  $A$  is a direct sum of copies of  $V_1, V_2, \dots, V_r$ , which are pairwise non-isomorphic.

If we view  $A \subseteq \text{Mat}_n(\mathbb{K})$  as a subalgebra of block diagonal  $n \times n$  matrices where  $n = d_1 + d_2 + \dots + d_r$ , then we can construct  $V_i$  as the subspace of vectors in  $\mathbb{K}^n$  with zeros outside the rows indexed by

$$(d_1 + d_2 + \dots + d_{i-1}) + \{1, 2, \dots, d_i\}.$$

**Lemma 1.2.** Suppose  $A$  is any  $K$ -algebra with a finite-dimensional representation  $V$ . There exists a finite filtration  $0 = V_0 \subset V_1 \subset \dots \subset V_n = V$  where each  $V_i$  is a subrepresentation with  $V_i/V_{i-1}$  irreducible.

Let  $A$  be any  $\mathbb{K}$ -algebra with  $\dim(A) < \infty$ . The radical of  $A$  is

$$\begin{aligned} \text{Rad}(A) &\stackrel{\text{def}}{=} \{\text{the elements in } A \text{ that act as zero in every irreducible representation of } A\} \\ &= (\text{the largest nilpotent 2-sided ideal in } A). \end{aligned}$$

**Theorem 1.3.** A finite-dimensional algebra  $A$  has finitely many irreducible representations  $V_1, \dots, V_r$  up to isomorphism, each representation  $V_i$  has finite dimension  $d_i = \dim(V_i)$ , and it holds that

$$A/\text{Rad}(A) \cong \bigoplus_{i=1}^r \text{End}(V_i) \cong \bigoplus_{i=1}^r \text{Mat}_{d_i}(\mathbb{K}).$$

Since each  $\text{End}(V_i)$  has dimension  $d_i^2 = \dim(V_i)^2$ , it follows that:

**Corollary 1.4.** If  $\dim A < \infty$ , then  $\dim A - \dim \text{Rad}(A) = \sum_{i=1}^r \dim(V_i)^2 \leq \dim A$ .

**Example 1.5.** Suppose  $A = \mathbb{K}[x]/(x^n)$  where  $n \geq 1$ . Then we can view  $A$  as the vector space

$$A = \mathbb{K}\text{-span}\{1, x, \dots, x^{n-1}\}.$$

The fact that

$$\begin{aligned} x^n = 0 \text{ in } A &\implies \text{if } (\rho, V) \text{ is a finite-dimensional representation of } A, \text{ then exists a basis for } V \\ &\quad \text{in which matrix of } \rho(x) \text{ is strictly upper triangular} \\ &\implies \text{if } V \text{ is irreducible, then } \rho(x) = 0 \text{ and } \dim V = 1. \end{aligned}$$

Thus  $A/\text{Rad}(A) \cong \text{End}(\mathbb{K}) = \mathbb{K}$ .

Note that we can see directly that  $\text{Rad}(A) = (x)$  as this is the largest nilpotent two-sided ideal in  $A$ .

**Example 1.6.** Suppose  $A$  is the subalgebra of upper-triangular matrices in  $\text{Mat}_n(\mathbb{K})$ .

Let  $(V_i, \rho_i)$  be the representation of  $A$  in which  $V_i = \mathbb{K}$  and  $\rho_i : A \rightarrow \text{End}(\mathbb{K}) = \mathbb{K}$  sends  $a \in A$  to the scalar  $\rho_i(a) = a_{ii}$  (the diagonal entry of  $a$  in row  $i$ ) for  $i = 1, 2, \dots, n$ .

One can check that representations are irreducible and pairwise-non-isomorphic. They give all irreducible representations of  $A$  (up to isomorphism) since

$$\text{Rad}(A) = \{\text{strictly upper-triangular matrices in } \text{Mat}_n(\mathbb{K})\},$$

as this is the largest nilpotent two-sided ideal in  $A$ , and so

$$A/\text{Rad}(A) \cong \mathbb{K}^n \implies \text{there are exactly } n \text{ isomorphism classes of irreducible } A\text{-representations.}$$

## 2 Semisimple algebras

Our main new results today concern the following class of algebras.

**Definition 2.1.** A finite-dimensional algebra  $A$  is called *semisimple* if  $\text{Rad}(A) = 0$ .

Recall that a representation is *semisimple* if it is a direct sum of irreducible representations.

**Proposition 2.2.** Assume  $A$  is an algebra over  $\mathbb{K}$  with  $\dim A < \infty$ . The following are equivalent:

- (1)  $A$  is semisimple.
- (2)  $\sum_{i=1}^r \dim(V_i)^2 = \dim A$  where  $V_i$  are the distinct isomorphism classes of irreducible  $A$ -representations.
- (3)  $A \cong \bigoplus_{i=1}^r \text{Mat}_{d_i}(\mathbb{K})$  for some integers  $d_1, d_2, \dots, d_r > 0$
- (4) Any finite-dimensional representation of  $A$  is semisimple.
- (5) The regular representation of  $A$  is semisimple.

*Proof.* We have (1)  $\iff$  (2) since  $\dim A - \dim \text{Rad}(A) = \sum_{i=1}^r \dim(V_i)^2$ .

The implication (1)  $\implies$  (3) is Theorem 1.3. Conversely, (3) + Theorem 1.1  $\implies$  (2)  $\implies$  (1).

We conclude that (1)  $\iff$  (3).

Now we claim that (3)  $\implies$  (4)  $\implies$  (5)  $\implies$  (3).

The implication (3)  $\implies$  (4) holds by Theorem 1.1 and (4)  $\implies$  (5) is trivial.

To show that (5)  $\implies$  (3), assume (5). Then we can write  $A = \bigoplus_{i=1}^r d_i V_i$  where  $V_1, V_2, \dots, V_r$  are irreducible and pairwise-non-isomorphic, since the regular representation of  $A$  is semisimple.

Now consider  $\text{End}_A(A) = \{\text{morphisms } A \rightarrow A \text{ as } A\text{-representations}\} = \text{Hom}_A(A, A)$ .

Schur's lemma tells us that

- $\text{End}_A(V_i) = \mathbb{K}$  so  $\text{End}_A(d_i V_i) \cong \text{Mat}_{d_i}(\mathbb{K})$ , and
- $\text{Hom}_A(V_i, V_j) = 0$  if  $i \neq j$ , so  $\text{Hom}_A(d_i V_i, d_j V_j) = 0$  if  $i \neq j$ .

Thus, we compute  $\text{End}_A(A) = \text{Hom}_A(A, A) = \bigoplus_{i,j} \text{Hom}(d_i V_i, d_j V_j) \cong \bigoplus_i \text{Mat}_{d_i}(\mathbb{K})$ .

**Exercise:** Show that  $(\text{End}_A(A))^{\text{op}} \cong A$  or equivalently that  $\text{End}_A(A) \cong A^{\text{op}}$ .

**Last time:** There is an isomorphism  $(\bigoplus_i \text{Mat}_{d_i}(\mathbb{K}))^{\text{op}} \cong \bigoplus_i \text{Mat}_{d_i}(\mathbb{K})$  afforded by the transpose map.

Thus we have  $A \cong (\text{End}_A(A))^{\text{op}} \cong (\bigoplus_i \text{Mat}_{d_i}(\mathbb{K}))^{\text{op}} \cong \bigoplus_i \text{Mat}_{d_i}(\mathbb{K})$ .

This is property (3), so (5)  $\implies$  (3) as desired. □

### 3 Characters

Let  $A$  be an algebra. Suppose  $(V, \rho)$  is a finite-dimensional representation of  $A$ .

**Definition 3.1.** The *character* of  $(V, \rho)$  is the linear map  $\chi_{(V, \rho)} : A \rightarrow \mathbb{K}$  with the formula

$$\chi_{(V, \rho)}(a) = \text{tr}(\rho(a)) \quad \text{for } a \in A.$$

How can we compute the trace of  $\phi \in \text{End}(V)$ ?

First choose a basis  $e_1, e_2, \dots, e_n$  of  $V$ . Then  $\text{tr}(\phi) = \sum_{i=1}^n (\text{coefficient of } e_i \text{ in } \phi(e_i))$ .

Some basic and well-known facts about traces:

- (1) The method just given to compute the trace does not depend on the choice of basis.
- (2) We have  $\text{tr}(\phi_1\phi_2) = \text{tr}(\phi_2\phi_1)$  for all  $\phi_1, \phi_2 \in \text{End}(V)$ , so  $\text{tr}(\phi_1\phi_2\phi_1^{-1}) = \text{tr}(\phi_2)$  if  $\phi_1$  is invertible.
- (3) If  $(V_1, \rho_1) \cong (V_2, \rho_2)$  are finite-dimensional  $A$ -representations then  $\chi_{(V_1, \rho_1)} = \chi_{(V_2, \rho_2)}$ .

To abbreviate, we will sometimes write  $\chi_V$  instead of  $\chi_{(V, \rho)}$ .

Let  $[A, A] = \mathbb{K}\text{-span} \left\{ [a, b] \stackrel{\text{def}}{=} ab - ba : a, b \in A \right\}$ . We view this as just a vector space.

**Fact 3.2.** We always have  $[A, A] \subseteq \ker(\chi_{(V, \rho)})$

*Proof.* Let  $\chi = \chi_{(V, \rho)}$ . Then  $\chi(ab - ba) = \text{tr}(\rho(ab)) - \text{tr}(\rho(ba)) = \text{tr}(\rho(a)\rho(b)) - \text{tr}(\rho(b)\rho(a)) = 0 \quad \square$

In the following theorem,  $\dim A$  is not required to be finite.

**Theorem 3.3.** The characters of any list of non-isomorphic irreducible finite-dimensional  $A$ -representations are linearly independent (and, in particular, are distinct).

*Proof.* Suppose  $(V_1, \rho_1), (V_2, \rho_2), \dots, (V_r, \rho_r)$  are pairwise non-isomorphic irreducible finite-dimensional  $A$ -representations. Let  $\chi_i = \chi_{(V_i, \rho_i)}$ . By the density theorem, the map

$$\rho_1 \oplus \dots \oplus \rho_r : A \rightarrow \text{End}(V_1) \oplus \dots \oplus \text{End}(V_r)$$

is surjective. Therefore, if  $\sum_{i=1}^r \lambda_i \chi_i(a) = 0$  for all  $a \in A$  for some coefficients  $\lambda_1, \lambda_2, \dots, \lambda_r \in \mathbb{K}$ , then

$$\sum_{i=1}^r \lambda_i \text{tr}(M_i) = 0 \quad \text{for any } M_i \in \text{End}(V_i) \text{ chosen independently,}$$

which is only possible if  $\lambda_1 = \lambda_2 = \dots = \lambda_r = 0$ .  $\square$

We say that a character  $\chi_{(V, \rho)}$  is *irreducible* if  $(V, \rho)$  is irreducible.

**Theorem 3.4.** Assume  $A$  is semisimple and  $\dim A < \infty$ . Then the irreducible characters of  $A$  are a basis for the vector space  $(A/[A, A])^*$  of linear maps  $A/[A, A] \rightarrow \mathbb{K}$ .

*Proof.* Each character  $\chi$  has  $[A, A] \subset \ker(\chi)$ , so  $\chi$  belongs to  $(A/[A, A])^*$ .

Since  $A = \text{Mat}_{d_1}(\mathbb{K}) \oplus \dots \oplus \text{Mat}_{d_r}(\mathbb{K})$  it follows that  $[A, A] = \bigoplus_{i=1}^r [\text{Mat}_{d_i}(\mathbb{K}), \text{Mat}_{d_i}(\mathbb{K})]$ .

We claim that  $[\text{Mat}_d(\mathbb{K}), \text{Mat}_d(\mathbb{K})] = \mathfrak{sl}_d(\mathbb{K})$ , where  $\mathfrak{sl}_d(\mathbb{K})$  is the vector space of  $d \times d$  matrices over  $\mathbb{K}$  with zero trace. To prove the claim, note that the trace map certainly vanishes on  $[\text{Mat}_d(\mathbb{K}), \text{Mat}_d(\mathbb{K})]$  and that  $\mathfrak{sl}_d(\mathbb{K})$  is spanned by the commutators

$$E_{ij} = [E_{ik}, E_{kj}] \text{ for } i \neq j \quad \text{and} \quad E_{ii} - E_{i+1, i+1} = [E_{i, i+1}, E_{i+1, i}]$$

where  $E_{ij}$  is the elementary matrix with 1 in entry  $(i, j)$  and 0 elsewhere.

With the claim proved, we have  $A/[A, A] \cong \mathbb{K}^r$  since  $\text{Mat}_d(\mathbb{K})/\mathfrak{sl}_d(\mathbb{K}) \cong \mathbb{K}$ .

Finally, we know that  $A$  has  $r$  distinct irreducible characters (by Theorem 1.1), and these are linearly independent elements of  $(A/[A, A])^*$ , so they must be a basis as  $\dim(A/[A, A])^* = \dim(A/[A, A]) = r$ .  $\square$

## 4 Two general results

We finish today with two general results that can be applied to algebras  $A$  that are not necessarily semisimple. Assume  $\dim A < \infty$ . Let  $V$  be a finite-dimensional representation of  $A$ .

**Theorem 4.1.** (*Jordan-Hölder theorem*) Suppose we have filtrations

$$0 = V_0 \subset V_1 \subset \cdots \subset V_n = V \quad \text{and} \quad 0 = V'_0 \subset V'_1 \subset \cdots \subset V'_m = V$$

where  $V_i$  and  $V'_i$  are subrepresentations such that the quotients  $W_i \stackrel{\text{def}}{=} V_i/V_{i-1}$  and  $W'_i \stackrel{\text{def}}{=} V'_i/V'_{i-1}$  are irreducible. Then  $n = m$  and there exists a permutation  $\sigma$  of  $\{1, 2, \dots, n\}$  such that  $W_{\sigma(i)} \cong W'_i$  for all  $i$ .

We call the common length  $m = n$  of these filtrations the *length* of the representation  $V$ .

*Proof.* We can give a simple proof when  $\text{char}(\mathbb{K}) = 0$ . In this case, it follows by a homework exercise that  $\chi_V = \chi_W + \chi_{V/W}$  if  $W$  is any subrepresentation of  $V$ , and so we have  $\chi_V = \sum_{i=1}^n \chi_{W_i} = \sum_{i=1}^m \chi_{W'_i}$ .

Then we can deduce the theorem by the linear independence of the irreducible characters of  $A$ .

This argument does not work for  $\text{char}(\mathbb{K}) = p > 0$ , because the multiplicities of the irreducible characters in the decomposition of  $\chi_V$  could be multiples of  $p$ . One can handle this case by a more involved inductive argument; see the textbook for the details.  $\square$

We maintain the same setup for  $A$  and  $V$  in the next theorem.

**Theorem 4.2.** (*Krull-Schmidt theorem*) There is a decomposition of  $V$ , which is unique up to isomorphism and rearrangement of factors, as a direct sum of indecomposable  $A$ -representations.

We will give the proof next time. While the existence of such a decomposition follows pretty easily by induction on  $\dim V$ , the uniqueness claim in the theorem is nontrivial.