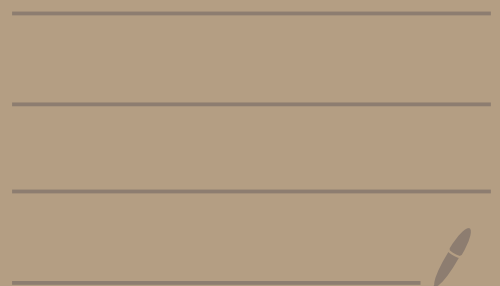


# Math 5112 - Lecture # 21

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Last time: Gabriel's theorem

A quiver  $Q = (V, E)$  is a directed graph, with multiple edges and self-loops allowed.

A quiver repn (for a given  $Q$ ) is an assignment of a vector space  $X_i$  to each vertex  $i \in V$  and a linear map  $f_{ij} : X_i \rightarrow X_j$  for each edge  $i \rightarrow j$

Two quiver reps  $(X_\bullet, f_\bullet)$  and  $(Y_\bullet, g_\bullet)$

for the quiver  $Q$  are isomorphic if there are

linear bijections  $\phi_i : X_i \rightarrow Y_i$  for all vertices  $i$

such that every diagram

(for every edge  $i \rightarrow j$ )

$$\begin{array}{ccc} X_i & \xrightarrow{\phi_i} & Y_i \\ f_{ij} \downarrow & & \downarrow g_{ij} \\ X_j & \xrightarrow{\phi_j} & Y_j \end{array} \quad \text{Commutative}$$

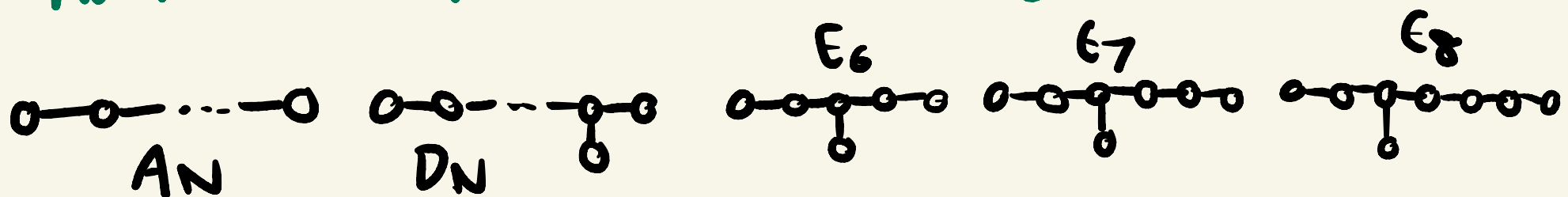
The direct sum of  $(X_\bullet, f_\bullet)$  and  $(Y_\bullet, g_\bullet)$  is

$(X_\bullet, f_\bullet) \oplus (Y_\bullet, g_\bullet) \stackrel{\text{def}}{=} (Z_\bullet, h_\bullet)$  where

$Z_i = X_i \oplus Y_i$  and  $h_{ij} = f_{ij} \oplus g_{ij}$

A quiver repn is indecomposable if it is not isomorphic to the direct sum of two nonzero quiver reps, and is itself nonzero

Thm A quiver has finitely many indecomposable non-isomorphic representations if and only if the undirected graph obtained by the orientation of edges in the quiver is a disjoint union of a finite number of copies of the following Dynkin diagrams:





There is also a complete description of the indecomposable reps (up to  $\cong$ ) in terms of the root system of the associated Dynkin diagram (when the quiver is connected)

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## Today: Category theory

What is it? "A very flexible and powerful language",  
useful for organizing definitions and results,  
helps us see when specific things are instances  
of general constructions.

Def. A category  $C$  consists of

- ① a "class" of objects  $Ob(C)$
  - ② a "class" of morphisms  $Hom_C(X, Y)$
- for any objects  $X, Y \in Ob(C)$ .

Write  $f: X \rightarrow Y$  to mean  $f \in Hom_C(X, Y)$

- ③ for any objects  $X, Y, Z \in Ob(C)$ , a composition map  $Hom_C(Y, Z) \times Hom_C(X, Y) \rightarrow Hom_C(X, Z)$   
 $(f, g) \longmapsto f \circ g$

such that  $(f \circ g) \circ h = f \circ (g \circ h)$  for all

$$h: X \rightarrow Y \quad g: Y \rightarrow Z \quad \text{and} \quad f: Z \rightarrow W$$

and such that for each object  $X \in \text{Ob}(\mathcal{C})$   
there is an identity morphism  $\text{id}_X: X \rightarrow X$

such that  $f \circ \text{id}_X = f$  and  $\text{id}_X \circ g = g$

whenever these compositions are defined.

The technical distinction between a "class" and a "set"  
belongs to foundations, nobody pays attention to this.  
Upshot: it doesn't matter in practice.

The reason we need to use classes is because otherwise there would be no category of Sets. (As there is no set of all sets)

A set is a class but not every class is a set.

From now on, we write  $X \in C$  for  $X \in \text{Ob}(C)$

Ex. ① Category of Sets with maps as morphisms

② Categories of groups, rings, etc., with

homomorphisms as morphisms

③ Category  $\text{Vect}_K$  of vector spaces  $/K$ , with linear maps as morphisms

④  $\text{Rep}(A)$  of representations of an algebra  $A$  (over a field  $k$ ), with the usual notion of morphisms as morphisms.

⑤ Category of topological spaces, with continuous maps as morphisms.

Def A category  $C$  is locally small if the class  $\text{Hom}_C(X, Y)$  is always a set. All of the examples ①-⑤ have this property.

Let  $\text{Aut}_C(X)$  consist of all  $f: X \rightarrow X$  for which there exists  $f^{-1}: X \rightarrow X$  such that  $f \circ f^{-1} = f^{-1} \circ f = \text{id}_X: X \rightarrow X$ .

Fact If  $C$  is locally small then  $\text{Aut}_C(X)$  is a group for all  $X \in C$ .

Def A full subcategory of a category  $C$  is a category  $B$  such that  $\text{Ob}(B) \subseteq \text{Ob}(C)$  and  $\text{Hom}_B(X, Y) = \text{Hom}_C(X, Y)$  for every  $X, Y \in \text{Ob}(B)$ .

Ex  $\text{FVect}_k$  of finite-dim  $k$ -vector spaces is a full subcategory of  $\text{Vect}_k$ .

But Rings is (non-full) subcategory of Abelian Groups

A category  $\mathcal{D}$  is monoidal if it has a product operation  $\otimes : \text{ob}(\mathcal{D}) \times \text{ob}(\mathcal{D}) \rightarrow \text{ob}(\mathcal{D})$  and a unit object  $1_{\mathcal{D}}$  with  $1_{\mathcal{D}} \otimes x \cong x \otimes 1_{\mathcal{D}} \cong x$  for all  $x \in \mathcal{D}$ , (where  $x \cong y$  means there are morphisms  $f: x \rightarrow y$  and  $g: y \rightarrow x$  such that  $f \circ g = \text{id}_y$  and  $g \circ f = \text{id}_x$ ) + some conditions.

Ex  $\text{Vect}_K$  with  $\otimes = \otimes_K$  and  $1_{\text{Vect}_K} = K$

Ex Abelian Groups with  $\otimes = \oplus$  and  $1_{\text{AbGroups}} = \{0\}$

A category  $C$  is enriched over a monoidal category  $D$  is for each  $X, Y \in C$ , the class  $\text{Hom}_C(X, Y)$  is an object in  $D$  and the composition map  $\text{Hom}_C(Y, Z) \times \text{Hom}_C(X, Y) \rightarrow \text{Hom}_C(X, Z)$  is an instance of the product  $\otimes$  for  $D$ .

Ex "locally small"  $\equiv$  "enriched over the category of sets"

Ex  $\text{Rep}(A)$  for a  $k$ -algebra  $A$  is enriched over  $\text{Vect}_k$



# Functors and natural transformations

Let  $C$  and  $D$  be categories.

Def A functor  $F: C \rightarrow D$  consists of:

- ① For each object  $x \in C$ , an object  $F[x] \in D$ .
- ② For each morphism  $\sigma: x \rightarrow y$  in  $\text{Hom}_C(x, y)$ ,  
a morphism  $F[\sigma]: F[x] \rightarrow F[y]$  in  $\text{Hom}_D(F[x], F[y])$

such that  $F[\text{id}_x] = \text{id}_{F[x]}$  and  $F[\sigma \circ \sigma'] = F[\sigma] \circ F[\sigma']$

Fact We can compose functors  $F: D \rightarrow E$   
and  $G: C \rightarrow D$  by setting

$$F \circ G [x] = F[G[x]]$$

$$F \circ G [\sigma] = F[G[\sigma]]$$

Denote composition

$$F \circ G: C \rightarrow E$$

Def The identity functor  $\text{id}_C: C \rightarrow C$  has  
 $\text{id}_C[x] = x$  and  $\text{id}_x[\sigma] = \sigma$ .

technically a "2-category"

Fact A non-locally small category:

the category of categories with functors as morphisms

Ex Suppose  $C$  is a locally small category with one object  $X$ . Then  $\text{Hom}_C(X, X)$  is a monoid. A functor  $C \rightarrow C$  is the same thing as a monad homomorphism.

Ex We have "forgetful" functors

Groups  $\rightarrow$  Sets

Rings  $\rightarrow$  Abelian Groups

etc.

Ex Define  $C^{op}$  to be category with

$$\text{Ob}(C) = \text{Ob}(C^{op}) \text{ and}$$

$$\text{Hom}_C(X, Y) = \text{Hom}_{C^{op}}(Y, X).$$

Then vector space duality  $*$  can be viewed as a functor  $\text{Vect}_K \rightarrow \text{Vect}_K^{op}$

$$V^* = [\text{linear map } V \rightarrow K]$$

$$(f: V \rightarrow W)^* = f^* : W^* \rightarrow V^* \\ \lambda \mapsto \lambda \circ f$$

Ex If  $H \subset G$  are finite groups and  $\text{Rep}(H)$ ,  $\text{Rep}(G)$  are the categories of group reps (over say  $\mathbb{C}$ ), then we can view

$$\text{Ind}_H^G : \text{Rep}(H) \rightarrow \text{Rep}(G)$$

a forgetful  
functor  $\rightarrow \text{Res}_H^G : \text{Rep}(G) \rightarrow \text{Rep}(H)$

as functors (How do these functors act on morphisms?)

Def Suppose  $F: C \rightarrow D$  and  $G: C \rightarrow D$  are functors. A natural transformation

$\alpha: F \rightarrow G$  consists of, for each  $X \in C$ , a morphism  $\alpha_X: F[X] \rightarrow G[X]$  such that

the diagram

$$\begin{array}{ccc} F[X] & \xrightarrow{\alpha_X} & G[X] \\ F[\sigma] \downarrow & & \downarrow G[\sigma] \\ F[Y] & \xrightarrow{\alpha_Y} & G[Y] \end{array}$$

commutes for all morphisms  $\sigma: X \rightarrow Y$  in  $\text{Hom}_C(X, Y)$ .

There is an obvious way to compose natural transformations  $\alpha : G \rightarrow H$  and  $\beta : F \rightarrow G$  to get  $\alpha \circ \beta : F \rightarrow H$

A natural isomorphism is a natural transformation

$\alpha : F \rightarrow G$  for which there exists a natural transformation  $\alpha^{-1} : G \rightarrow F$  such that

$$(\alpha \circ \alpha^{-1})_x = \text{id}_x \text{ and } (\alpha^{-1} \circ \alpha)_x = \text{id}_x$$

for all  $x \in C$

The identity natural transformation  $\text{id} : F \rightarrow F$  that has  $\text{id}_x = \text{identity morphism}$  for all  $x \in C$ .

Fact The class of all functors  $C \rightarrow D$  between two categories is itself a category with natural transformations as morphisms.

The notion of when two categories are "the same" is a little subtle. In practice, the following is what is typically used to define this:

Def A functor  $F: C \rightarrow D$  is an equivalence of categories if there exists a functor  $G: D \rightarrow C$



Such that  $F \circ G$  and  $G \circ F$  are (naturally) isomorphic to the identity functors on  $C$  and  $D$ .

In this case  $F$  and  $G$  are called quasi-inverses and  $C$  and  $D$  are said to be equivalent.