

# 1 Review: direct sums and the density theorem

In the last lecture we discussed *semisimple representations*.

These are representations isomorphic to direct sums of irreducible representations.

**Notation.** If  $V_1, V_2, \dots, V_n$  are  $\mathbb{K}$ -vector spaces, then we view elements of the direct sum

$$V_1 \oplus V_2 \oplus \dots \oplus V_n$$

either as tuples  $(v_1, v_2, \dots, v_n)$  or as row vectors  $[ v_1 \ v_2 \ \dots \ v_n ]$  where each  $v_i \in V_i$ .

Assume  $A$  is an algebra defined over an algebraically closed field  $\mathbb{K}$ .

We proved the following technical result last time:

**Proposition.** Let  $V_1, \dots, V_m$  be irreducible  $A$ -representations with  $\dim(V_i) < \infty$  and  $V_i \not\cong V_j$  if  $i \neq j$ .

Consider the  $A$ -representation  $V = \bigoplus_{i=1}^m V_i^{\oplus n_i}$  where  $n_1, n_2, \dots, n_m$  are nonnegative integers.

Now suppose  $W$  is a subrepresentation of  $V$ . Then:

- (1) For some integers  $0 \leq r_i \leq n_i$  there is an isomorphism  $\phi : \bigoplus_{i=1}^m V_i^{\oplus r_i} \xrightarrow{\sim} W$ .
- (2) The map  $\bigoplus_{i=1}^m V_i^{\oplus r_i} \xrightarrow{\phi} W \hookrightarrow V$  is a direct sum of inclusions  $\phi_i : V_i^{\oplus r_i} \hookrightarrow V_i^{\oplus n_i}$  of the form

$$\phi_i(a_1, a_2, \dots, a_{r_i}) = [ a_1 \ a_2 \ \dots \ a_{r_i} ] X_i$$

where each  $X_i$  is a full rank  $r_i \times n_i$  matrix with values in  $\mathbb{K}$ .

Here are two consequences of this proposition:

- Suppose  $V$  is a finite-dimensional  $A$ -representation and  $v_1, \dots, v_n \in V$  are linearly independent. If  $V$  is irreducible then the map  $a \mapsto (av_1, \dots, av_n)$  is a surjection  $A \rightarrow V^{\oplus n}$ .
- **Density theorem:** Let  $(\rho_1, V_1), (\rho_2, V_2), \dots, (\rho_r, V_r)$  be irreducible finite-dimensional  $A$ -representations. If we have  $(\rho_i, V_i) \not\cong (\rho_j, V_j)$  for all  $i \neq j$  then  $\bigoplus_{i=1}^r \rho_i : A \rightarrow \bigoplus_{i=1}^r \text{End}(V_i)$  is surjective.

# 2 Matrix algebras

We have already seen that the algebra of all  $n \times n$  matrices over  $\mathbb{K}$  has a unique isomorphism class of irreducible representations, corresponding to the space of column vectors  $\mathbb{K}^n$ .

We can generalize this to block diagonal matrix algebras.

Choose integers  $d_1, d_2, \dots, d_r > 0$ . Set  $n = \sum_{i=1}^r d_i$ .

Let  $A = \bigoplus_{i=1}^r \text{Mat}_{d_i}(\mathbb{K})$  where we define  $\text{Mat}_d(\mathbb{K})$  to be the algebra of  $d \times d$  matrices over  $\mathbb{K}$ .

Identify  $A$  with the subalgebra of  $\text{Mat}_n(\mathbb{K})$  of all block diagonal matrices with blocks of size  $d_i \times d_i$ .

The vector space  $\mathbb{K}^n$  is automatically an  $A$ -representation. We construct a sequence of subrepresentations:

- Let  $V_1 \subseteq \mathbb{K}^n$  be the subspace of vectors with zeros outside rows  $1, 2, \dots, d_1$
- Let  $V_2 \subseteq \mathbb{K}^n$  be the subspace of vectors with zeros outside rows  $d_1 + 1, d_1 + 2, \dots, d_1 + d_2$ .
- Let  $V_3 \subseteq \mathbb{K}^n$  be the subspace of vectors with zeros outside rows  $d_1 + d_2 + 1, d_1 + d_2 + 2, \dots, d_1 + d_2 + d_3$ .

• Define  $V_4, \dots, V_r$  likewise, so  $V_r \subseteq \mathbb{K}^n$  is the subspace of vectors with zeros outside the last  $d_r$  rows. Notice that we have  $\dim(V_i) = d_i$ .

**Theorem.** In this setup, each  $V_i$  is an irreducible  $A$ -representation.

Every finite-dimensional  $A$ -representation is isomorphic to a direct sum of zero or more copies of  $V_1, V_2, \dots, V_r$ .

Before proving this theorem, we introduce another definition.

**Definition.** Suppose  $(V, \rho)$  is an  $A$ -representation.

Let  $V^*$  be the vector space of all  $\mathbb{K}$ -linear maps  $\lambda : V \rightarrow \mathbb{K}$ .

Also let  $\rho^* : A \rightarrow \text{End}(V^*)$  be the linear map defined by

$$\rho^*(a)(\lambda) : x \mapsto \lambda(\rho(a)(x)) \quad \text{for } a \in A \text{ and } \lambda \in V^*.$$

We refer to the pair  $(V^*, \rho^*)$  as the *dual* of  $(V, \rho)$ . It is a representation of the opposite algebra  $A^{\text{op}}$ .

**Fact.** For  $A = \bigoplus_{i=1}^r \text{Mat}_{d_i}(\mathbb{K}) \subseteq \text{Mat}_n(\mathbb{K})$ , the transpose  $X \mapsto X^\top$  is an algebra isomorphism  $A \cong A^{\text{op}}$ .

Given a linear map between vector spaces  $L : V \rightarrow W$ , define  $L^* : W^* \rightarrow V^*$  by  $L^*(f) = f \circ L$ .

**Fact.** If  $L$  is injective then  $L^*$  is surjective, and if  $L$  is surjective then  $L^*$  is injective.

*Proof of the theorem.* If  $v, w \in V_i$  are nonzero then we can always find a matrix  $M \in A$  with  $Mv = w$ . It follows that  $V_i$  has no proper subrepresentations so is an irreducible  $A$ -representation.

Let  $X$  be some finite  $m$ -dimensional representation of  $A$  where  $m < \infty$ .

Then  $X^*$  is representation of  $A^{\text{op}} \cong A$ .

In other words,  $X^*$  can be viewed as an  $A$ -representation for the action

$$a \cdot \lambda : x \mapsto \lambda(a^\top x) \quad \text{for } x \in X, \lambda \in X^*, a \in A.$$

Choose a basis  $\{\lambda_1, \dots, \lambda_m\}$  for  $X^*$ . Then let  $\phi : A \oplus A \oplus \dots \oplus A = A^{\oplus m} \rightarrow X^*$  be the map

$$\phi(a_1, a_2, \dots, a_m) = a_1 \lambda_1 + a_2 \lambda_2 + \dots + a_m \lambda_m.$$

Because  $\mathbb{K} \subseteq A$ , this map is surjective. Therefore, the dual map  $\phi^* : X \rightarrow (A^{\oplus m})^*$  is injective.

Key claim: The  $A$ -representations  $(A^{\oplus m})^*$  and  $A^{\oplus m}$  are isomorphic.

If we can prove this, then it will follow that  $X$  is isomorphic to a subrepresentation of  $A^{\oplus m}$ .

Viewing a matrix as a tuple of column vectors gives an isomorphism  $A \cong \bigoplus_{i=1}^r V_i^{\oplus d_i}$  as  $A$ -representations.

So if we can prove our key claim that it would follow that

$$X \cong \left( \text{a subrepresentation of } A^{\oplus m} \cong \bigoplus_{i=1}^r V_i^{\oplus m d_i} \right).$$

By our technical proposition this would imply that  $X \cong \bigoplus_{i=1}^r V_i^{\oplus s_i}$  for some integers  $s_i \geq 0$  as desired.

It suffices to show the  $m = 1$  case of the key claim since  $(A^*)^{\oplus m} \cong (A^{\oplus m})^*$ .

Let  $A$  act on  $A^*$  by  $a \cdot \lambda : x \mapsto \lambda(a^\top x)$  for  $a \in A$  and  $\lambda \in A^*$ .

Define  $\Theta : A \rightarrow A^*$  to be the linear map  $\Theta : a \mapsto (x \mapsto \text{trace}(a^\top x))$ .

Then  $\Theta$  is a bijection since it is a nonzero linear map with trivial kernel and  $\dim(A) = \dim(A^*) < \infty$ .

The map  $\Theta$  also a homomorphism of  $A$ -representations since we have

$$\Theta(gh)(x) = \text{trace}(h^\top g^\top x) = \Theta(h)(g^\top x) = (g \cdot \Theta(h))(x) \quad \text{for } g, h, x \in A,$$

which implies that  $\Theta(gh) = g \cdot \Theta(h)$ . Thus  $\Theta : A \xrightarrow{\sim} A^*$  is an isomorphism of  $A$ -representations.  $\square$

### 3 Filtrations

Let  $A$  be an algebra defined over any field. Suppose  $V$  is an  $A$ -representation.

**Definition.** A *filtration* of  $V$  is a finite, increasing sequence of subspaces

$$0 = V_0 \subseteq V_1 \subseteq \cdots \subseteq V_n = V$$

where each  $V_i$  is subrepresentation of  $V$ .

**Lemma.** If  $\dim(V) < \infty$  then  $V$  has a filtration with  $V_i/V_{i-1}$  an irreducible  $A$ -representation if  $i > 0$ .

*Proof.* We argue by induction on  $\dim V$ .

If  $\dim V \leq 1$  then the result is trivial: just take  $n = 1$  and  $V_n = V$ .

Assume  $\dim V > 1$  and choose any irreducible subrepresentation  $V_1 \subset V$ .

Then let  $U = V/V_1$ . By induction there is a filtration

$$0 = U_0 \subset U_1 \subset \cdots \subset U_{n-1} = U$$

in which each quotient  $U_i/U_{i-1}$  is irreducible.

Let  $V_i$  be the preimage of  $U_{i-1}$  under the quotient map  $V \rightarrow V/V_1 = U$ . Then

$$0 = V_0 \subset V_1 \subset \cdots \subset V_n = V$$

gives the desired filtration, since  $V_i/V_{i-1} \simeq (V_i/V_1)/(V_{i-1}/V_1) = U_{i-1}/U_{i-2}$  for  $i > 1$ .  $\square$

### 4 Radicals of finite-dimensional algebras

Continue to let  $A$  be an algebra defined over any field, but now assume that  $\dim(A) < \infty$ .

**Definition.** The *radical* of  $A$  is the set of elements

$$\text{Rad}(A) = \{a \in A : \rho(a) = 0 \text{ for all irreducible } A\text{-representations } (\rho, V)\}.$$

**Proposition.** The set  $\text{Rad}(A)$  is a two-sided ideal of  $A$ .

*Proof.* The set  $\text{Rad}(A)$  is a subspace of  $A$  since if  $(\rho, V)$  is a representation then

$$\rho(x) = 0 \implies \rho(cx) = c\rho(x) = 0 \quad \text{and} \quad \rho(x) = 0 = \rho(y) \implies \rho(x+y) = \rho(x) + \rho(y) = 0$$

for all  $x, y \in A$  and  $c \in \mathbb{K}$ . The radical of  $A$  is a two-sided ideal since if  $a, b \in A$  then

$$\rho(x) = 0 \implies \rho(axb) = \rho(a)\rho(x)\rho(b) = 0.$$

□

Let  $I$  be a two-sided ideal in  $A$ . For integers  $n \geq 1$ , let

$$I^n = \mathbb{K}\text{-span}\{x_1x_2 \cdots x_n : x_1, x_2, \dots, x_n \in I\} \subseteq I.$$

We say that  $I$  is *nilpotent* if  $I^n = 0$  for some  $n > 0$ .

For example, the subspace of strictly upper triangular matrices is a nilpotent ideal in  $\text{Mat}_n(\mathbb{K})$ .

**Proposition.** Suppose  $I$  is a nilpotent two-sided ideal in  $A$ . Then  $I \subseteq \text{Rad}(A)$ .

*Proof.* Choose any irreducible  $A$ -representation  $V$  and pick a nonzero element  $0 \neq v \in V$ .

Then the subspace  $Iv = \{xv : x \in I\}$  is a subrepresentation, which therefore must be either  $V$  or  $0$ .

We cannot have  $Iv = V$  as then there is some  $x \in I$  with  $xv = v$ , which is impossible as  $x^n = 0$ .

Therefore  $Iv = 0$ . Since  $V$  was arbitrary, it follows that  $I \subseteq \text{Rad}(A)$ .

□

The following shows that  $\text{Rad}(A)$  is the largest nilpotent two-sided ideal in  $A$ .

**Proposition.**  $\text{Rad}(A)$  is itself a nilpotent two-sided ideal in  $A$ .

*Proof.* Since  $\dim(A) < \infty$ , the previous section gives us a filtration of the regular representation

$$0 = A_0 \subseteq A_1 \subseteq \cdots \subseteq A_n = A$$

in which each  $A_i$  is a left ideal and each quotient  $A_i/A_{i-1}$  is irreducible as an  $A$ -representation.

Each  $x \in \text{Rad}(A)$  acts as zero on  $A_i/A_{i-1}$  and this means that  $xA_i \subseteq A_{i-1}$ .

Therefore if  $x_1, x_2, \dots, x_n \in \text{Rad}(A)$  then  $x_1x_2 \cdots x_nA \subseteq A_0 = 0$ . Hence  $\text{Rad}(A)$  is nilpotent.

□

## 5 Representations of finite-dimensional algebras

Now let  $A$  be a **finite-dimensional** algebra defined over an **algebraically closed field**.

As a final application, we classify all representations of  $A$ .

**Theorem.**  $A$  has finitely many isomorphism classes of irreducible representations  $V_1, V_2, \dots, V_r$  and

$$A/\text{Rad}(A) \cong \bigoplus_{i=1}^r \text{End}(V_i)$$

as  $\mathbb{K}$ -algebras. Moreover, every irreducible  $A$ -representation is finite-dimensional.

Notice that since  $\dim(V_i)$  is finite, we have  $\text{End}(V_i) \cong \text{Mat}_d(\mathbb{K})$  for  $d = \dim V_i$ .

Therefore  $A/\text{Rad}(A)$  is isomorphic to a block diagonal matrix algebra of the form considered earlier today.

*Proof.* Suppose  $V$  is an  $A$ -representation.

If  $0 \neq x \in V$  then  $Ax$  is a nonzero subrepresentation of dimension at most  $\dim(A) < \infty$ .

Therefore, if  $V$  is irreducible then we must have  $V = Ax$  and  $\dim V \leq \dim(A) < \infty$ .

Now suppose  $(\rho_1, V_1), (\rho_2, V_2), \dots, (\rho_r, V_r)$  are pairwise non-isomorphic, irreducible  $A$ -representations.

We know from the density theorem (which requires  $\mathbb{K}$  to be algebraically closed) that the direct sum

$$\phi = \bigoplus_{i=1}^r \rho_i : A \rightarrow \bigoplus_{i=1}^r \text{End}(V_i)$$

is a surjective map. Since each  $\text{End}(V_i)$  has dimension  $(\dim V_i)^2$ , we have

$$r \leq \sum_{i=1}^r (\dim V_i)^2 \leq \dim A < \infty.$$

Thus the number of isomorphism classes of irreducible  $A$ -representations is finite and at most  $\dim(A)$ .

Finally, assume  $r$  is maximal above, so that every irreducible  $A$ -representation is isomorphic to some  $V_i$ .

Then  $\text{Rad}(A) = \ker(\phi)$  so  $\phi$  passes to an isomorphism  $A/\text{Rad}(A) \cong \bigoplus_{i=1}^r \text{End}(V_i)$ .  $\square$

**Corollary.** If  $V_1, V_2, \dots, V_r$  are pairwise non-isomorphic irreducible  $A$ -representations then

$$\sum_{i=1}^r (\dim V_i)^2 \leq \dim A.$$