

## 1 Review

Let  $L | K$  be a field extension. Write  $\text{Aut}_K(L)$  for the group of  $K$ -linear field isomorphisms  $L \rightarrow L$ .

If  $G \subseteq \text{Aut}_K(L)$  is a subgroup then we let  $L^G = \{a \in L : g(a) = a \text{ for all } g \in G\}$ .

The field extension  $L | K$  is *finite* is  $[L : K] = \dim_K(L) < \infty$ .

The field extension  $L | K$  is *Galois* if  $L^{\text{Aut}_K(L)}$  is equal to the image of  $K$  in  $L$ .

For finite Galois extensions the *Galois group*  $\text{Gal}(L | K) = \text{Aut}_K(L)$  is finite.

For finite field extensions  $L | K$ , the following properties are equivalent:

- (a)  $L | K$  is a Galois extension.
- (b)  $L$  is the splitting field of a separable polynomial over  $K$ .
- (c) The extension  $L | K$  is normal and separable.

**Theorem (Artin's lemma).** Let  $K$  be a field and let  $G \subseteq \text{Aut}(K)$  be a finite subgroup.

Then  $K | K^G$  is a finite Galois extension and  $G = \text{Aut}_{K^G}(K)$ .

## 2 The fundamental theorem of Galois theory

If  $\iota : K \hookrightarrow L$  is a field extension, then an *intermediate field* is a subfield of  $L$  containing  $\iota(K)$ .

As explained last time, if  $L | K$  is finite Galois and  $M$  is an intermediate field then  $L | M$  is also Galois.

Our first goal today is to prove the following fundamental theorem:

**Theorem.** Let  $\iota : K \hookrightarrow L$  be a finite Galois extension.

- (i) The map  $M \mapsto \text{Gal}(L | M)$  is a bijection

$$\{\text{subfields } M \text{ of } L \text{ containing } \iota(K)\} \mapsto \{\text{subgroups of } \text{Gal}(L | K)\}.$$

Its inverse is given by the map  $H \mapsto L^H$  where  $H$  is a subgroup of  $\text{Gal}(L | K)$ .

- (ii) Let  $M$  be a subfield of  $L$  containing  $\iota(K)$ . Then

$$[L : M] = |\text{Gal}(L | M)| \quad \text{and} \quad [M : K] = \frac{|\text{Gal}(L | K)|}{|\text{Gal}(L | M)|}.$$

- (iii) Let  $M$  be a subfield of  $L$  containing  $\iota(K)$ .

Then  $M | K$  is a Galois extension if and only if  $\text{Gal}(L | M)$  is a normal subgroup of  $\text{Gal}(L | K)$ .

When this holds, we have  $\gamma(M) = M$  for all  $\gamma \in \text{Gal}(L | K)$  and there is a unique isomorphism

$$I_M : \text{Gal}(L | K) / \text{Gal}(L | M) \xrightarrow{\sim} \text{Gal}(M | K)$$

sending the image of  $\gamma \in \text{Gal}(L | K)$  in  $\text{Gal}(L | K) / \text{Gal}(L | M)$  to its restriction  $\gamma|_M$ .

*Proof.* For part (i) we need to prove that

$$M = L^{\text{Gal}(L|M)} \quad \text{and} \quad \text{Gal}(L | L^H) = H$$

for any intermediate field  $M$  and any subgroup  $H \subseteq \text{Gal}(L | K)$ .

We have  $M = L^{\text{Gal}(L|M)}$  since  $L | M$  is a Galois extension.

We have  $\text{Gal}(L | L^H) = H$  by Artin's lemma.

In part (ii), we have  $[L : M] = |\text{Gal}(L | M)|$  as  $L | M$  is a splitting field of a separable  $P(x) \in M[x]$ .

The second identity in part (ii) holds that  $[M : K][L : M] = [L : K] = |\text{Gal}(L | L)|$ .

For part (iii), suppose that  $M$  is an intermediate field and that  $M | K$  is a Galois extension.

Then  $M | K$  is a splitting extension for some  $P(x) \in K[x]$ .

Clearly  $M \hookrightarrow L$  is a homomorphism of  $K$ -extensions  $M | K \rightarrow L | K$ .

But if  $\gamma \in \text{Gal}(L | K)$  then  $\gamma|_M$  is another homomorphism of  $K$ -extensions  $M | K \rightarrow L | K$ .

By a theorem proved in Lecture 16, the images of these homomorphisms must coincide.

As the image of one homomorphism is  $M$  while the other is  $\gamma(M)$ , we have  $M = \gamma(M)$ .

Thus the restriction operation  $\gamma \mapsto \gamma|_M$  is a homomorphism  $\text{Gal}(L | K) \rightarrow \text{Gal}(M | K)$ .

The kernel of this homomorphism is  $\text{Gal}(L | M)$  so this subgroup is normal in  $\text{Gal}(L | K)$ .

Now, conversely, suppose that  $\text{Gal}(L | M)$  is a normal subgroup of  $\text{Gal}(L | K)$ .

Let  $\gamma \in \text{Gal}(L | K)$ . We compute from the definitions that

$$\begin{aligned} \text{Gal}(L | \gamma(M)) &= \{\mu \in \text{Gal}(L | K) : \mu(m) = m \text{ for all } m \in \gamma(M)\} \\ &= \{\mu \in \text{Gal}(L | K) : \mu \circ \gamma(m) = \gamma(m) \text{ for all } m \in M\} \\ &= \{\mu \in \text{Gal}(L | K) : \gamma^{-1} \circ \mu \circ \gamma(m) = m \text{ for all } m \in M\} \\ &= \{\gamma \circ \mu \circ \gamma^{-1} : \mu \in \text{Gal}(L | M)\} \\ &= \text{Gal}(L | M). \end{aligned}$$

Thus  $\gamma(M) = L^{\text{Gal}(L|\gamma(M))} = L^{\text{Gal}(L|M)} = M$ .

Thus  $\gamma \mapsto \gamma|_M$  is again a homomorphism  $\text{Gal}(L | K) \rightarrow \text{Aut}_K(M)$ .

Let  $H \subseteq \text{Aut}_K(M)$  be the image of this homomorphism.

Then part (ii) tells us that  $|H| = [M : K]$ .

On the other hand, by Artin's lemma, we know that  $[M : M^H] = |H| = [M : K]$ .

Hence  $[M^H : K] = \frac{[M:K]}{[M:M^H]} = 1$  so  $M^H = K$  and therefore also  $M^{\text{Aut}_K(M)} = K$ .

Thus  $M | K$  is a Galois extension so by part (i) we must have  $H = \text{Aut}_K(M)$ .

This means that our homomorphism  $\text{Gal}(L | K) \rightarrow \text{Aut}_K(M) = \text{Gal}(M | K)$  is surjective.

The kernel of this map is  $\text{Gal}(L | M)$  so passing to the quotient gives the desired homomorphism  $I_M$ .  $\square$

Here is another characterization of Galois extensions, which was established in the previous argument.

**Corollary.** Let  $\iota : K \hookrightarrow L$  be a Galois extension and suppose  $M \subseteq L$  be an intermediate field.

Then  $M | K$  is a Galois extension if and only if all homomorphisms of  $K$ -extensions

$$M | K \rightarrow L | K$$

have the same image, which must be  $M$ .

*Proof.* Suppose that all homomorphisms of  $K$ -extensions  $M | K \rightarrow L | K$  have  $M$  as their image.

Then for all  $\gamma \in \text{Gal}(L | K)$  we have  $\gamma(M) = M$  so restriction defines a homomorphism

$$\text{Gal}(L | K) \rightarrow \text{Aut}_K(M)$$

whose kernel is  $\text{Gal}(L | M)$ . Thus  $\text{Gal}(L | M)$  is a normal subgroup of  $\text{Gal}(L | K)$  so  $M | K$  is Galois.

Conversely, if  $M | K$  is a Galois extension then we saw above that  $\gamma(M) = M$  for all  $\gamma \in \text{Gal}(L | K)$ .  $\square$

**Corollary.** Let  $\iota : K \hookrightarrow L$  be a finite separable field extension.

Then there are only finitely many intermediate fields between  $L$  and  $\iota(K)$ .

*Proof.* After replacing  $L$  with a splitting field we may assume that  $L | K$  is a finite Galois extension.

Then  $\text{Gal}(L | K)$  is a finite group with finitely many subgroups.

These subgroups are in bijection with the intermediate fields.  $\square$

### 3 Miscellaneous results

**Lemma.** Let  $L | K$  be a finite Galois extension. Choose  $\alpha \in L$ .

Then the minimal polynomial of  $\alpha$  over  $K$  is

$$P(x) = \prod_{\beta \in \text{Orb}(\text{Gal}(L|K), \alpha)} (x - \beta).$$

*Proof.* Let  $m_\alpha(x) \in K$  be the minimal polynomial of  $\alpha$  over  $K$ .

We showed in Lecture 17 that  $P(x) \in K[x]$  and so  $m_\alpha(x) | P(x)$ .

Thus it suffices to prove that  $P(x)$  is irreducible over  $K$ .

Suppose for contradiction that  $P(x)$  is reducible.

Let  $P(x) = Q(x)T(x)$  for two non-constant polynomials  $Q(x), T(x) \in K[x]$ .

Note that if  $\rho \in L$  and  $Q(\rho) = 0$ , then for any  $\gamma \in \text{Gal}(L | K)$ , we have

$$\gamma(Q(\rho)) = Q(\gamma(\rho)) = \gamma(0) = 0$$

and thus the roots of  $Q(x)$  in  $L$  are stable under the action of  $\text{Gal}(L | K)$ .

Now note that  $Q(x)$  has a root in  $L$ , since  $P(x)$  splits in  $L$  and  $Q(x) | P(x)$ .

Thus the set of the roots of  $P(x)$  contains a subset stable under  $\text{Gal}(L | K)$  with cardinality less than

$$\deg(P(x)) = |\text{Orb}(\text{Gal}(L | K), \alpha)|.$$

This contradicts the fact that the set of roots of  $P(x)$  is the orbit of  $\alpha$  under  $\text{Gal}(L | K)$ . □

**Theorem** (Primitive element theorem). Let  $L | K$  be a finite separable extension of fields.

Then  $L = K(\alpha)$  for some  $\alpha \in L$ , so  $L | K$  is a simple extension.

*Proof.* When  $K$  is a finite field,  $L$  is also a finite field, so the result follows as  $L \setminus \{0\}$  is a cyclic group. (The last fact is left as an exercise.)

Suppose that  $K$  is an infinite field.

Since  $L$  is a finite extension of  $K$ ,  $L$  is generated over  $K$  by a finite number of elements.

By induction, it is enough to prove that  $L$  is generated by one element if it is generated by two elements.

To this end suppose that  $L = K(\beta, \gamma)$ .

For each  $d \in K$  we consider the intermediate field  $K(\beta + d\gamma)$ .

By the last corollary above, there are only finitely many intermediate fields.

(This is the part of the argument that uses the assumption that  $L | K$  is finite and separable.)

Since  $K$  is infinite, we may thus find  $d_1, d_2 \in K$  such that  $d_1 \neq d_2$  and  $K(\beta + d_1\gamma) = K(\beta + d_2\gamma)$ .

Every element of  $K(\beta + d_2\gamma)$  can be expressed as a polynomial in  $\beta + d_2\gamma$  with coefficients in  $K$ .

Thus  $\beta + d_1\gamma = P(\beta + d_2\gamma)$  for some  $P(x) \in K[x]$ , and for this polynomial we have

$$\boxed{\gamma = \frac{P(\beta + d_2\gamma) - (\beta + d_2\gamma)}{d_1 - d_2}} \quad \text{and} \quad \boxed{\beta = (\beta + d_2\gamma) - d_2 \frac{P(\beta + d_2\gamma) - (\beta + d_2\gamma)}{d_1 - d_2}}.$$

This shows that  $\{\beta, \gamma\} \subset K(\beta + d_2\gamma) \subseteq K(\beta, \gamma)$  so in fact  $K(\beta, \gamma) = K(\beta + d_2\gamma)$ .

Thus if  $L$  is generated by two elements over  $K$  then it actually is a simple extension.

By iteration, we conclude that  $L | K$  is simple whenever it is finite and separable. □