

# 1 Insolvable quintic equations

Let  $G$  be a finite group. The following result is usually covered in a first course on group theory:

**Theorem** (Sylow). Suppose that  $|G| = p^n \cdot a$  where  $\gcd(a, p) = 1$  with  $p$  prime and  $n \geq 0$ .

Then there is a subgroup  $H \subseteq G$  such that  $|H| = p^n$ .

Furthermore, if  $H, H' \subseteq G$  are two subgroups with  $|H| = |H'| = p^n$  then  $g^{-1}Hg = H'$  for some  $g \in G$ .

A subgroup  $H \subseteq G$  as in the theorem is called a *p-Sylow subgroup* of  $G$ .

According to the theorem, any two  $p$ -Sylow subgroups of  $G$  are conjugate.

**Corollary** (Cauchy). If  $p$  is prime that divides  $|G|$ , then there is an element of order  $p$  in  $G$ .

*Proof.* We know that  $G$  has a  $p$ -Sylow subgroup  $H$ . This is a  $p$ -group so has nontrivial center.

Take any non-identity element of the center.

The order of this element is a nontrivial power of  $p$  as the cyclic group it generates has size dividing  $p^n$ .

An appropriate power of this element has order  $p$ . □

Let  $n, k \geq 0$ . Let  $\sigma \in S_n$  and write  $\langle g \rangle$  for the subgroup of  $S_n$  generated by  $\sigma$ .

Recall that  $\sigma$  is said to be a *k-cycle* if

- $\langle g \rangle$  has one orbit of cardinality  $k$  in  $\{1, \dots, n\}$ ; and
- all the other orbits of  $\langle g \rangle$  have cardinality 1.

Note that an orbit of cardinality 1 is a subset of  $\{1, \dots, n\}$  consisting of a fixed point of  $\sigma$ .

Note also that a  $k$ -cycle necessarily has order  $k$ .

A *transposition* is a 2-cycle.

**Lemma.** Let  $p$  be a prime number and let  $\sigma \in S_p$ . Suppose that the order of  $\sigma$  is  $p$ . Then  $\sigma$  is a  $p$ -cycle.

*Proof.* Let  $a \in \{1, \dots, p\}$ . Then we have  $|\text{Orb}(\langle \sigma \rangle, a)| \cdot |\text{Stab}(\langle \sigma \rangle, a)| = p$ .

Since the only subgroups of  $\langle \sigma \rangle$  are  $\langle \sigma \rangle$  and  $\{1\}$ , we conclude that  $|\text{Orb}(\langle \sigma \rangle, a)|$  is equal to either  $p$  or 1.

Let  $A$  be the number of orbits with cardinality  $p$  and let  $B$  be the number of fixed points of  $\sigma$ .

We then have  $pA + B = p$  and  $B = 0$  and  $A = 1$ .

Thus  $\langle \sigma \rangle$  has exactly one orbit, and it has cardinality  $p$ . In particular,  $\sigma$  is a  $p$ -cycle. □

**Proposition.** Let  $p$  be a prime number.

Let  $\sigma, \tau \in S_p$  and suppose that  $\sigma$  is a transposition and that  $\tau$  is a  $p$ -cycle. Then  $\sigma$  and  $\tau$  generate  $S_p$ .

*Proof.* By conjugating  $\sigma$  by  $\tau^k$ , we get  $p-1$  transpositions  $(a_i, a_{i+1})$  where  $\{a_1, a_2, \dots, a_p\} = \{1, 2, \dots, p\}$ .

These elements generate  $S_p$ . □

**Proposition.** Let  $p$  be a prime number and let  $P(x) \in \mathbb{Q}[x]$  be an irreducible polynomial of degree  $p$ . Suppose that  $P(x)$  has precisely  $p - 2$  real roots in  $\mathbb{C}$ . Then  $\text{Gal}(P) \cong S_p$ .

*Proof.* Let  $L \mid \mathbb{Q}$  be a splitting field for  $P(x)$ .

We identify  $L$  with the field generated over  $\mathbb{Q}$  by the roots of  $P(x)$  in  $\mathbb{C}$ .

The roots of  $P(x)$  are distinct since  $P(x)$  is separable.

Choosing a labelling of the roots of  $P(x)$  in  $L$ , we may view  $\text{Gal}(L \mid \mathbb{Q})$  as a subgroup of  $S_p$ .

Since  $P(x)$  is irreducible, the ring  $\mathbb{Q}[x]/(P(x))$  is a field and there is a homomorphism of  $\mathbb{Q}$ -extensions

$$\mathbb{Q}[x]/(P(x)) \hookrightarrow L$$

and so  $p$  divides  $[L : \mathbb{Q}]$ .

Since  $|\text{Gal}(L \mid \mathbb{Q})| = [L : \mathbb{Q}]$ , we see that  $p$  divides  $|\text{Gal}(L \mid \mathbb{Q})|$ .

We can thus conclude from Cauchy's theorem that there is an element  $\sigma$  of order  $p$  in  $\text{Gal}(L \mid \mathbb{Q})$ .

From the lemmas, we conclude that  $\sigma$  is a  $p$ -cycle of  $S_p$ .

On the other hand, complex conjugation is a field automorphism of  $\mathbb{C}$ .

Since  $L \mid \mathbb{Q}$  is a Galois extension, we see that the image of  $L$  under complex conjugation is again  $L$ .

Hence it restricts to an element  $\kappa \in \text{Gal}(L \mid \mathbb{Q})$ .

We have  $\kappa \neq \text{id}_L$  since  $P(x)$  has non-real roots by assumption.

Let  $\alpha, \beta \in L$  be the two non-real roots of  $P(x)$ .

Then we must have  $\kappa(\alpha) = \beta$ , since  $\kappa$  fixes all the other roots of  $P(x)$  by assumption and  $\kappa \neq \text{id}_L$ .

In particular,  $\kappa$  is a transposition in  $S_p$ .

By the previous proposition, the elements  $\kappa$  and  $\sigma$  generate  $S_p$  and thus  $\text{Gal}(L \mid \mathbb{Q}) = S_p$ . □

**Corollary.** The polynomial  $x^5 - 6x + 3 \in \mathbb{Q}[x]$  is not solvable by radicals.

*Proof.*  $P(x) := x^5 - 6x + 3$  is irreducible by *Eisenstein's criterion* (for  $p = 3$ ; see the lecture notes).

Furthermore, we compute  $P(-1) = 8 > 0$  and  $P(1) = -2 < 0$ .

Also  $\lim_{x \rightarrow \infty} P(x) = \infty$  and  $\lim_{x \rightarrow -\infty} P(x) = -\infty$ .

Hence  $P(x)$  has roots in  $(-\infty, -1)$ ,  $(-1, 1)$  and  $(1, \infty)$  by the intermediate value theorem.

In particular,  $P(x)$  has at least three roots in  $\mathbb{R}$ . Finally, we compute

$$\frac{d}{dx}P(x) = 5x^4 - 6$$

and the real roots of  $\frac{d}{dx}P(x)$  are  $\pm\sqrt[4]{6}$ .

If  $P(x)$  had more than three roots in  $\mathbb{R}$ , then  $\frac{d}{dx}P(x)$  would have at least three roots in  $\mathbb{R}$  by the mean value theorem, which is not possible.

We conclude that  $P(x)$  has precisely  $3 = 5 - 2$  roots in  $\mathbb{R}$ .

Thus by the previous proposition  $\text{Gal}(P) \cong S_5$ .

Since  $S_5$  is not solvable, we conclude that  $P(x)$  is not solvable by radicals.  $\square$

## 2 The fundamental theorem of algebra via Galois theory

We will now prove that  $\mathbb{C}$  is algebraically closed using Galois theory and basic real analysis.

We need the following fact.

**Lemma.** Let  $P(x) \in \mathbb{R}[x]$  be a monic polynomial of odd degree. Then  $P(x)$  has a root in  $\mathbb{R}$ .

*Proof.* Let  $P(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0$ . When  $x \neq 0$ , we have

$$P(x) = x^n(1 + a_{n-1}/x + a_{n-2}/x^2 + \cdots + a_0/x^n).$$

Since

$$\lim_{x \rightarrow \pm\infty} 1 + a_{n-1}/x + a_{n-2}/x^2 + \cdots + a_0/x^n = 1$$

there is a real number  $x_1 > 0$  such that  $1 + a_{n-1}/x_1 + a_{n-2}/x_1^2 + \cdots + a_0/x_1^n > 0$ .

Similarly, there is a real number  $x_0 < 0$  such that  $1 + a_{n-1}/x_0 + a_{n-2}/x_0^2 + \cdots + a_0/x_0^n > 0$ .

On the other hand,  $x_0^n < 0$  and  $x_1^n > 0$  so  $P(x_0) < 0$  and  $P(x_1) > 0$ .

We conclude from the intermediate value theorem that  $P(x)$  has a root in the interval  $[x_0, x_1]$ .  $\square$

**Theorem.** The field  $\mathbb{C}$  is algebraically closed.

In the following proof, if

$$P(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_0 \in \mathbb{C}[x]$$

then we shall write  $\overline{P}(x)$  for the polynomial

$$\overline{P}(x) = \overline{a_n} x^n + \overline{a_{n-1}} x^{n-1} + \cdots + \overline{a_0} \in \mathbb{C}[x]$$

where  $\overline{a}$  is the complex conjugate of  $a \in \mathbb{C}$ .

Note that if  $Q(x) = P(x)\overline{P}(x)$ , then  $\overline{Q}(x) = Q(x)$ , so that  $Q(x) \in \mathbb{R}[x]$ .

*Proof.* Let  $P(x) \in \mathbb{C}[x]$ . We need to show that  $P(x)$  splits.

Replacing  $P(x)$  by  $P(x)\overline{P}(x)$ , we may assume  $P(x)$  has even degree and all coefficients in  $\mathbb{R}$ .

Let  $L \mid \mathbb{R}$  be a splitting field of  $P(x)$ . Let  $G = \text{Gal}(L \mid \mathbb{R})$ .

Let  $G_2 \subseteq G$  be a 2-Sylow subgroup of  $G$ . Then let  $M = \mathbb{C}^{G_2}$ .

The degree  $[M : \mathbb{R}]$  is odd by the definition of Sylow subgroups and the main theorem on Galois extensions.

Suppose that  $M \mid \mathbb{R}$  is a nontrivial extension and let  $\alpha \in M \setminus \mathbb{R}$ .

Let  $m_\alpha(x) \in \mathbb{R}[x]$  be the minimal polynomial of  $\alpha$ .

Then  $\deg(m_\alpha(x))$  must divide  $[M : \mathbb{R}]$ .

In particular  $\deg(m_\alpha(x))$  is odd.

Thus, by the previous lemma,  $m_\alpha(x)$  has a root in  $\mathbb{R}$ .

Since  $m_\alpha(x)$  is irreducible, this means that  $\deg(m_\alpha(x)) = 1$ .

This contradicts the fact that  $\alpha \in M \setminus \mathbb{R}$ .

We conclude that  $M \mid \mathbb{R}$  is the trivial extension.

In other words  $G_2 = G$ . In particular  $|G| = 2^k$  for some  $k \geq 0$ .

We may suppose without loss of generality that  $k > 0$  as otherwise  $P(x)$  splits in  $\mathbb{R}$ .

The group is solvable since it is a  $p$ -group for  $p = 2$ .

Thus there is a finite filtration of  $G$  that has cyclic quotients of order 2.

This gives rise via the fundamental theorem of Galois extensions to a sequence of subfields

$$L = L_n \supseteq L_{n-1} \supseteq \cdots \supseteq L_0 = \mathbb{R}$$

such that  $L_{i+1}$  is Galois over  $L_i$  for all  $i \in \{0, \dots, n-1\}$ , and  $\text{Gal}(L_{i+1} \mid L_i) \cong \mathbb{Z}/2\mathbb{Z}$ .

By our results on Kummer extensions, there exists  $\beta \in L_1$  with  $\beta^2 \in L_0 = \mathbb{R}$  and  $L_1 = \mathbb{R}(\beta)$ .

Since any positive element of  $\mathbb{R}$  has a square root in  $\mathbb{R}$ , we see that  $\beta^2 < 0$  as  $L_1 \mid L_0$  is nontrivial.

Now we may compute

$$(\beta/\sqrt{|\beta^2|})^2 = \beta^2/|\beta^2| = -1.$$

Thus the polynomial  $x^2 + 1 \in \mathbb{R}[x]$  has a root in  $L_1$ .

In particular,  $x^2 + 1$  splits in  $L_1$ .

Since  $x^2 + 1$  has no roots in  $\mathbb{R}$  and  $[L_1 : \mathbb{R}] = 2$ , we conclude that  $L_1$  is a splitting field for  $x^2 + 1$ .

In other words  $L_1 \cong \mathbb{C}$  as an  $\mathbb{R}$ -extension.

Now suppose that  $k > 1$ .

By similar reasoning, there exists  $\rho \in L_2$  such that  $\rho^2 \in L_1 \cong \mathbb{C}$  and such that  $L_2 = L_1(\rho)$ .

Furthermore  $L_2 \mid L_1$  is a nontrivial extension by assumption.

This is a contradiction, because any element of  $L_1 \cong \mathbb{C}$  has a square root.

Explicitly, if  $z = re^{i\theta}$  then  $\sqrt{r}e^{i\theta/2}$  is a square root of  $z$ .

We conclude that  $k = 1$  and thus  $L = L_1 \cong \mathbb{C}$ . In particular,  $P(x)$  splits in  $\mathbb{C}$ . □