

# 1 Review: Weyl's theorem

Let  $L$  be a finite-dimensional Lie algebra over an algebraically closed field  $\mathbb{F}$  with  $\text{char}(\mathbb{F}) = 0$ .

An  *$L$ -representation* is a Lie algebra morphism  $\phi : L \rightarrow \mathfrak{gl}(V)$  for some vector space  $V$ .

An  *$L$ -module* is a vector space  $V$  with a bilinear map  $L \times V \rightarrow V$ , written  $(X, v) \mapsto X \cdot v$ , that has

$$[X, Y] \cdot v = X \cdot (Y \cdot v) - Y \cdot (X \cdot v) \quad \text{for all } X, Y \in L \text{ and } v \in V.$$

Assume  $L$  is *semisimple*, meaning that  $L$  has no nonzero solvable ideals. Recall that this implies that

$$L = L_1 \oplus L_2 \oplus \cdots \oplus L_n$$

where each  $L_i$  is a simple ideal (that is, each  $L_i$  is non-abelian and contains no proper ideals).

**Theorem** (Weyl's Theorem). Every finite-dimensional  $L$ -module is completely reducible.

Also proved last time: if  $\phi : L \rightarrow \mathfrak{gl}(V)$  is any  $L$ -representation, then  $\phi(L) \subseteq \mathfrak{sl}(V) \subseteq \mathfrak{gl}(V)$ .

Consequently, if  $\phi : L \rightarrow \mathfrak{gl}(V)$  is an  $L$ -representation and  $\dim(V) = 1$ , then  $\phi(L) = 0$  since  $\mathfrak{sl}(V) = 0$ .

# 2 Abstract Jordan decomposition

Continue to assume  $L$  is finite-dimensional and semisimple.

As  $Z(L) = \{X \in L : \text{ad}_X = 0\}$  is a solvable ideal, we have  $Z(L) = 0$  so  $\text{ad} : L \rightarrow \mathfrak{gl}(L)$  is faithful.

Recall that if  $V$  is a vector space with  $\dim(V) < \infty$  then  $X \in \mathfrak{gl}(V)$  has a unique *Jordan decomposition*

$$X = X_{\text{ss}} + X_{\text{nil}}$$

where  $X_{\text{ss}} \in \mathfrak{gl}(V)$  is semisimple,  $X_{\text{nil}} \in \mathfrak{gl}(V)$  is nilpotent, and  $[X_{\text{ss}}, X_{\text{nil}}] = 0$ .

Define the *abstract Jordan decomposition* of  $X \in L$  to be

$$X = X_{\text{ss}}^{\text{abs}} + X_{\text{nil}}^{\text{abs}}$$

where  $X_{\text{ss}}^{\text{abs}}, X_{\text{nil}}^{\text{abs}} \in L$  are the unique elements such that

$$\text{ad}(X_{\text{ss}}^{\text{abs}}) = (\text{ad}_X)_{\text{ss}} \quad \text{and} \quad \text{ad}(X_{\text{nil}}^{\text{abs}}) = (\text{ad}_X)_{\text{nil}}.$$

Here  $(\text{ad}_X)_{\text{ss}}$  and  $(\text{ad}_X)_{\text{nil}}$  are the semisimple and nilpotent parts of  $\text{ad}_X$  in  $\mathfrak{gl}(L)$ .

This new notation turns out to be redundant:

**Theorem.** Suppose  $L \subseteq \mathfrak{gl}(V)$  for some finite-dimensional vector space  $V$ . Let  $X \in L$ .

Then the components  $X_{\text{ss}}$  and  $X_{\text{nil}}$  of the Jordan decomposition of  $X$  (as an element of  $\mathfrak{gl}(V)$ ) are in  $L$ .

Hence  $X_{\text{ss}} = X_{\text{ss}}^{\text{abs}}$  and  $X_{\text{nil}} = X_{\text{nil}}^{\text{abs}}$  are also the components of the abstract Jordan decomposition of  $X$ .

*Proof sketch.* Given the properties of the Jordan decomposition, we just need to prove that  $X_{\text{ss}}, X_{\text{nil}} \in L$ . This is nontrivial since although we know that  $X_{\text{ss}}$  and  $X_{\text{nil}}$  are polynomials in  $X$ , this does not immediately imply that  $X_{\text{ss}}, X_{\text{nil}} \in L$  since  $L$  is not necessarily an associative subalgebra of  $\mathfrak{gl}(V)$ .

The vector space  $V$  is an  $L$ -module since  $L \subseteq \mathfrak{gl}(V)$ .

For each  $L$ -submodule  $W \subseteq V$  define  $L_W = \{Y \in \mathfrak{gl}(V) : Y \cdot W \subseteq W \text{ and } \text{trace}_W(Y) = 0\}$ .

Since  $L = [L, L]$  as  $L$  is semisimple, we have  $L \subseteq L_W$ . Define

$$L' = \bigcap_W L_W \cap N_{\mathfrak{gl}(V)}(L) \subseteq L$$

where  $N_{\mathfrak{gl}(V)}(L) = \{Y \in \mathfrak{gl}(V) : [Y, L] \subseteq L\}$  and the intersection is over all  $L$ -submodules  $W \subseteq V$ .

The Jordan components  $X_{\text{ss}}$  and  $X_{\text{nil}}$  are polynomials in  $X$  without constant term.

We therefore have  $X_{\text{ss}}, X_{\text{nil}} \in L_W$  all  $L$ -submodules  $W \subseteq V$  since

$$\text{trace}_W(X_{\text{ss}}) = \text{trace}_W(X) \quad \text{and} \quad \text{trace}_W(X_{\text{nil}}) = 0.$$

We showed in an earlier lecture that  $\text{ad}_{X_{\text{ss}}} = (\text{ad}_X)_{\text{ss}}$  and  $\text{ad}_{X_{\text{nil}}} = (\text{ad}_X)_{\text{nil}}$ .

Thus  $\text{ad}_{X_{\text{ss}}}$  and  $\text{ad}_{X_{\text{nil}}}$  are also polynomials in  $\text{ad}_X$  without constant term.

As  $\text{ad}_X(L) \subseteq L$  we conclude that  $X_{\text{ss}}, X_{\text{nil}} \in N_{\mathfrak{gl}(V)}(L)$ .

Thus  $X_{\text{ss}}, X_{\text{nil}} \in L'$  and it suffices to show that  $L = L'$ .

One can derive this as a consequence of Weyl's theorem  $\leadsto$  see the textbook for the details.  $\square$

From this point on, we write  $X = X_{\text{ss}} + X_{\text{nil}}$  for the abstract Jordan decomposition of  $X \in L$ .

This notation is unambiguous (even when  $L \subseteq \mathfrak{gl}(V)$ ) by the previous theorem.

**Theorem.** Suppose  $\phi : L \rightarrow \mathfrak{gl}(V)$  is a representation of a semisimple Lie algebra.

Assume  $\dim(L) < \infty$  and  $\dim(V) < \infty$ .

Then for any  $X \in L$  with abstract Jordan decomposition  $X = X_{\text{ss}} + X_{\text{nil}}$ , the expression

$$\phi(X) = \phi(X_{\text{ss}}) + \phi(X_{\text{nil}})$$

is the Jordan decomposition of  $\phi(X) \in \mathfrak{gl}(V)$ .

*Proof sketch.* When  $\phi = \text{ad}$  this claim is how we define the abstract Jordan decomposition.

The preceding theorem covers the base case when  $\phi = \text{id}$ .

For general  $\phi$ , note that if  $Y \in L$  is an eigenvector for  $\text{ad}_{X_{\text{ss}}}$  then  $\phi(Y)$  is an eigenvector for  $\text{ad}_{\phi(X_{\text{ss}})}$  as

$$\text{ad}_{\phi(X_{\text{ss}})}(\phi(Y)) = [\phi(X_{\text{ss}}), \phi(Y)] = \phi([X_{\text{ss}}, Y]) = \phi(\text{ad}_{X_{\text{ss}}}(Y)).$$

Therefore  $\phi(L)$  has a basis of eigenvectors for  $\text{ad}_{\phi(X_{\text{ss}})}$  since  $L$  does for  $\text{ad}_{X_{\text{ss}}}$ .

Thus  $\text{ad}_{\phi(X_{\text{ss}})}$  is semisimple. One can check similarly that  $\text{ad}_{\phi(X_{\text{nil}})}$  is nilpotent, and we have

$$[\phi(X_{\text{ss}}), \phi(X_{\text{nil}})] = \phi([X_{\text{ss}}, X_{\text{nil}}]) = \phi(0) = 0.$$

As  $\phi(L)$  is semisimple, our base case implies that  $\phi(X_{\text{ss}}) = \phi(X)_{\text{ss}}$  and  $\phi(X_{\text{nil}}) = \phi(X)_{\text{nil}}$ .  $\square$

### 3 Representations of $\mathfrak{sl}_2(\mathbb{F})$

Continue to let  $\mathbb{F}$  be algebraically closed with  $\text{char}(\mathbb{F}) = 0$ . Recall that

$$\mathfrak{sl}_2(\mathbb{F}) = \left\{ \begin{bmatrix} a & b \\ c & -a \end{bmatrix} : a, b, c \in \mathbb{F} \right\}$$

has standard basis

$$E = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad H = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad F = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix},$$

for which we have Lie bracket relations

$$[H, E] = 2E, \quad [H, F] = -2F, \quad \text{and} \quad [E, F] = H.$$

Our second goal today is to classify the irreducible modules of this simple Lie algebra.

Let  $V$  be a finite-dimensional  $\mathfrak{sl}_2(\mathbb{F})$ -module. Since  $\text{ad}_H$  is semisimple, the theorems in the preceding section imply that  $H$  acts on  $V$  as a semisimple operator, so we can decompose

$$V = \bigoplus_{\lambda \in \mathbb{F}} V_\lambda \quad \text{where} \quad V_\lambda = \{v \in V : Hv = \lambda v\}.$$

This property relies on  $\mathbb{F}$  being algebraically closed, so that all eigenvalues for  $H$  are present.

We refer to the eigenvalues  $\lambda$  for  $H$  as *weights* and the nonzero subspaces  $V_\lambda$  as *weight spaces*.

**Lemma.** If  $v \in V_\lambda$  then  $Ev \in V_{\lambda+2}$  and  $Fv \in V_{\lambda-2}$ .

*Proof.* We have  $HEv = [H, E]v + EHv = (2 + \lambda)Ev$  and  $HFv = [H, F]v + FHv = (-2 + \lambda)Fv$ . □

Assume our  $\mathfrak{sl}_2(\mathbb{F})$ -module  $V$  is irreducible with  $0 < \dim(V) < \infty$ .

Then there must exist at least one  $\lambda \in \mathbb{F}$  with  $V_\lambda \neq 0 = V_{\lambda+2}$ .

For this  $\lambda$  we have  $Ev = 0$  for all  $v \in V_\lambda$ .

We call the nonzero elements of this weight space  $V_\lambda$  the *maximal weight vectors* of  $V$ .

**Lemma.** Choose a maximal weight vector  $v_0 \in V_\lambda$  and define  $v_{-1} = 0$  and  $v_i = \frac{1}{i!} F^i v_0$  for  $i \geq 0$ . Then:

- (a)  $Hv_i = (\lambda - 2i)v_i$ .
- (b)  $Fv_i = v_{i+1}$ .
- (c)  $Ev_i = i(\lambda - i + 1)v_{i-1}$ .

*Proof.* Part (a) follows from the previous lemma.

Part (b) holds by definition.

Part (c) follows as an exercise from (a) and (b) by induction on  $i$ . □

The nonzero vectors  $v_i$  are linearly independent since they are  $H$ -eigenvectors with distinct eigenvalues.

Since  $\dim(V) < \infty$ , there exists a smallest  $m$  such that  $v_m \neq 0$  and  $v_{m+1} = 0$ . Then we must have

$$V = \mathbb{F}\text{-span}\{v_0, v_1, v_2, \dots, v_m\}$$

since  $V$  is irreducible and the space on the right is an  $\mathfrak{sl}_2(\mathbb{F})$ -submodule by the previous lemma.

In the basis  $v_0, v_1, v_2, \dots, v_m$  for  $V$  the elements  $H$ ,  $E$ , and  $F$  respectively act as diagonal, strictly upper-triangular, and strictly lower-triangular matrices.

Moreover, we have  $0 = E0 = Ev_{m+1} = (m+1)(\lambda - m)v_m$  by the lemma.

**Corollary.** We have  $\lambda = m \in \mathbb{Z}_{\geq 0}$  and so the weight of any highest weight vector in an irreducible, finite-dimensional  $\mathfrak{sl}_2(\mathbb{F})$ -module  $V$  is a nonnegative integer.

We call this integer  $\lambda$  the *highest weight* of the  $\mathfrak{sl}_2(\mathbb{F})$ -module  $V$ .

**Theorem.** Let  $V$  be an irreducible  $\mathfrak{sl}_2(\mathbb{F})$ -module with  $\dim(V) = m+1 < \infty$ . Then:

(a)  $V = V_{-m} \oplus V_{-m+2} \oplus \dots \oplus V_{m-2} \oplus V_m$  where  $V_i = \{v \in V : Hv = iv\}$  has  $\dim(V_i) = 1$  for all

$$i \in \{-m, -m+2, \dots, m-2, m\}.$$

(b)  $V$  has a unique highest weight space, and this weight space has weight  $m$ .

(c) For each  $m \geq 0$  there is a unique irreducible  $\mathfrak{sl}_2(\mathbb{F})$ -module of dimension  $m+1$ , up to isomorphism.

*Proof.* Parts (a) and (b) were derived in the discussion above.

To prove part (c), it suffices to check existence, namely, that the formulas for the action of  $E$ ,  $F$ , and  $H$  in the preceding lemma define an  $\mathfrak{sl}_2(\mathbb{F})$ -module for all  $\lambda \in \mathbb{Z}_{\geq 0}$ . For this, one needs to verify that

$$[X, Y] \cdot v_i = X \cdot (Y \cdot v_i) - Y \cdot (X \cdot v_i)$$

for all  $X, Y \in \{E, F, H\}$ , and this is a straightforward calculation. □

If  $\dim(V) = m+1$  is odd then  $V$  looks like

$$V_{-m} \xrightarrow{F} V_{-m+2} \xrightarrow{F} \dots \xrightarrow{F} V_{-2} \xrightarrow{F} V_0 \xrightarrow{F} V_2 \xrightarrow{F} \dots \xrightarrow{F} V_{m-2} \xrightarrow{F} V_m$$

while if  $\dim(V) = m+1$  is even the  $V$  looks like

$$V_{-m} \xrightarrow{F} V_{-m+2} \xrightarrow{F} \dots \xrightarrow{F} V_{-1} \xrightarrow{F} V_1 \xrightarrow{F} \dots \xrightarrow{F} V_{m-2} \xrightarrow{F} V_m.$$

Here each arrow is an isomorphism of 1-dimensional subspaces afforded by the action of the generator  $F$ .

Thus exactly one of  $V_0$  or  $V_1$  is nonzero (and 1-dimensional) when  $V$  is irreducible.

Since  $\mathfrak{sl}_2(\mathbb{F})$  is semisimple (as it is simple), these observations plus Weyl's theorem imply the following:

**Corollary.** Let  $V$  be a finite-dimensional  $\mathfrak{sl}_2(\mathbb{F})$ -module.

Then  $H \in \mathfrak{sl}_2(\mathbb{F})$  acts on  $V$  as a semisimple operator whose eigenvalues are integers.

If  $\lambda$  is one of these eigenvalues, then so is  $-\lambda$ . Finally, if

$$V_\lambda = \{v \in V : Hv = \lambda v\}$$

then the number of summands in any irreducible decomposition of  $V$  is  $\dim(V_0) + \dim(V_1)$ .