

# 1 Review: abstract root systems

Let  $E$  be a finite-dimensional real vector space with a symmetric, positive definite bilinear form  $(\cdot, \cdot)$ . (By appropriately choosing a basis, we could identify  $E$  with  $\mathbb{R}^n$  with the standard inner product.)

For  $0 \neq \alpha \in E$ , let  $r_\alpha : E \rightarrow E$  be the map  $r_\alpha(v) = v - 2\frac{(v, \alpha)}{(\alpha, \alpha)}\alpha$  and set  $\langle \beta, \alpha \rangle = \frac{2(\beta, \alpha)}{(\alpha, \alpha)}$ .

**Definition.** A finite subset  $\Phi \subseteq E \setminus \{0\}$  is a *root system* if:

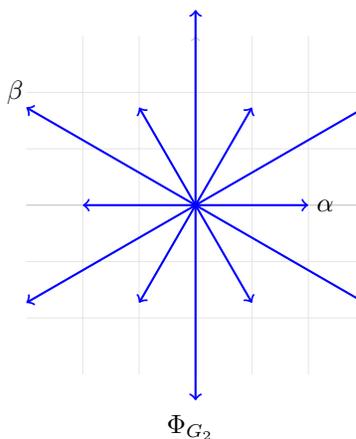
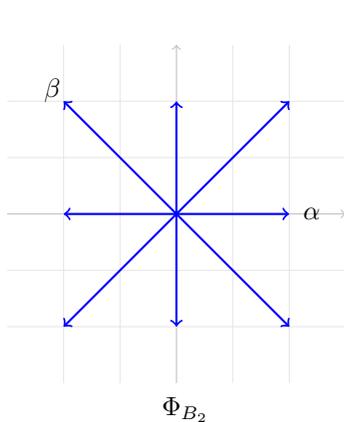
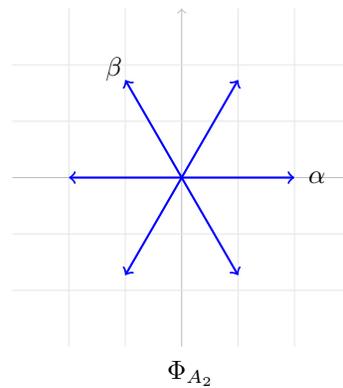
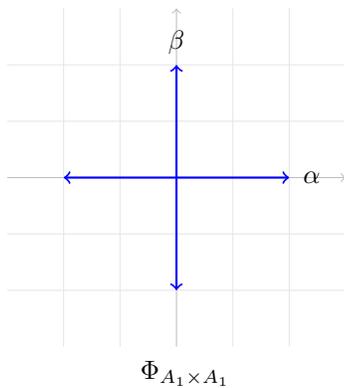
- (R1)  $E$  is spanned by  $\Phi$ .
- (R2) If  $\alpha \in \Phi$  then  $\mathbb{R}\alpha \cap \Phi = \{\pm\alpha\}$ .
- (R3) If  $\alpha \in \Phi$  then  $r_\alpha(\Phi) = \Phi$ .
- (R4) If  $\alpha, \beta \in \Phi$  then  $\langle \beta, \alpha \rangle \in \mathbb{Z}$ .

The elements of  $\Phi$  are *roots*. The subgroup  $W \subset GL(E)$  generated by  $\{r_\alpha : \alpha \in \Phi\}$  is the *Weyl group*.

If  $\Phi' \subseteq E'$  is another root system, then an *isomorphism*  $\Phi \rightarrow \Phi'$  is a linear bijection  $f : E \rightarrow E'$  with

$$f(\Phi) = \Phi' \quad \text{and} \quad \langle f(\beta), f(\alpha) \rangle = \langle \beta, \alpha \rangle \text{ for all } \alpha, \beta \in \Phi.$$

Up to isomorphism, there are 4 root systems in  $\mathbb{R}^2$ :



## 2 Motivation

Suppose  $L$  is a (nonzero, finite-dimensional) semisimple Lie algebra over  $\mathbb{C}$ .

Choose a maximal toral subalgebra  $H \subseteq L$  and let  $H^* = \{\text{linear maps } H \rightarrow \mathbb{C}\}$ .

(If  $L$  is classical, can take  $H$  to be the subalgebra of diagonal matrices in  $L$ .)

For each  $\alpha \in H^*$  define as usual  $L_\alpha = \{x \in L : [h, x] = \alpha(h)x \text{ for all } h \in H\}$ .

Set  $\Phi = \{\alpha \in H^* \setminus \{0\} : L_\alpha \neq 0\}$ .

We have seen that  $H = L_0$  is abelian and that we have a decomposition  $L = H \oplus \bigoplus_{\alpha \in \Phi} L_\alpha$ .

Here,  $\Phi$  is a root system in  $E = \mathbb{R}\text{-span}\{\alpha \in \Phi\}$ .

The relevant form  $(\cdot, \cdot)$  is given by the restricted Killing form  $\mathcal{K}|_{H \times H}$ , transferred to  $H^*$  by non-degeneracy.

In other words,  $(\alpha, \beta) = \mathcal{K}(t_\alpha, t_\beta)$  where  $t_\alpha \in H$  is the unique element with  $\mathcal{K}(t_\alpha, h) = \alpha(h)$  for all  $h \in H$ .

## 3 Simple roots and the Weyl group

Let  $\Phi$  be a root system in a real vector space  $E$  with Weyl group  $W$ .

A *base* or *simple system* for  $\Phi$  is an  $\mathbb{R}$ -basis  $\Delta$  for  $E$  such that each  $\alpha \in \Phi$  can be written as

$$\alpha = \sum_{\beta \in \Delta} K_{\alpha\beta} \cdot \beta$$

where the coefficients  $K_{\alpha\beta}$  are either

- (i) all nonnegative integers or
- (ii) all nonpositive integers.

Necessarily  $|\Delta| = \dim(E)$ . It takes some work to show that  $\Phi$  always has a simple system.

However, such systems are never unique: if  $\Delta$  is a simple system, then  $-\Delta$  is another simple system.

**Example.** In each root system in  $\mathbb{R}^2$ , the roots labeled  $\{\alpha, \beta\}$  form a simple system.

**Lemma.** Suppose  $\Delta$  is a simple system for  $\Phi$  and  $\alpha, \beta \in \Delta$  have  $\alpha \neq \beta$ . Then  $(\alpha, \beta) \leq 0$ .

*Proof.* In this case we also have  $\alpha \neq -\beta$  since the elements of  $\Delta$  are linearly independent.

If  $(\alpha, \beta) > 0$  then a lemma from last time says that  $\alpha - \beta \in \Phi$ .

But if  $\alpha - \beta \in \Phi$  then  $\Delta$  would not be a simple system. □

Fix a simple system  $\Delta$  for  $\Phi$  and define the *height* of a root  $\alpha = \sum_{\beta \in \Delta} K_{\alpha\beta} \cdot \beta$  to be the sum

$$\text{ht}(\alpha) = \sum_{\beta \in \Delta} K_{\alpha\beta} \in \mathbb{Z} \setminus \{0\}.$$

Let  $\Phi^+ = \{\alpha \in \Phi : \text{ht}(\alpha) > 0\}$  and  $\Phi^- = \{\alpha \in \Phi : \text{ht}(\alpha) < 0\}$ . Then  $\Phi^- = -\Phi^+$  and  $\Phi = \Phi^+ \cup \Phi^-$ .

Call  $\Phi^+$  the set of *positive roots* and  $\Phi^-$  the set of *negative roots* corresponding to  $\Delta$ .

**Theorem.** Any root system  $\Phi$  does have a simple system.

To prove this theorem, we will instead derive a more detailed statement.

For each  $\gamma \in E$  define  $\Phi^+(\gamma) = \{\alpha \in \Phi : (\gamma, \alpha) > 0\}$  and  $H_\gamma = \{v \in E : (\gamma, v) = 0\}$ .

One can always choose  $\gamma \in E \setminus \bigcup_{\alpha \in \Phi} H_\alpha$  and we call such  $\gamma$  *regular*.

If  $\gamma$  is regular then  $\Phi = \Phi^+(\gamma) \cup \Phi^-(\gamma)$  where  $\Phi^-(\gamma) = -\Phi^+(\gamma)$ .

Call  $\alpha \in \Phi^+(\gamma)$  *indecomposable* if we cannot write  $\alpha = \beta_1 + \beta_2$  where each  $\beta_i \in \Phi^+(\gamma)$ .

**Theorem.** If  $\gamma \in E$  is regular, then the set  $\Delta(\gamma)$  of indecomposable roots in  $\Phi$  is a simple system.

Moreover, every simple system arises as  $\Delta(\gamma)$  for some choice of regular  $\gamma \in E$ .

*Proof.* We derive the theorem from a series of claims.

Define  $\Delta(\gamma)$  to be the roots in  $\Phi^+(\gamma)$  that are indecomposable.

**Claim.** Each  $\alpha \in \Phi^+(\gamma)$  is in  $\mathbb{Z}_{\geq 0}$ -span $\{\beta \in \Delta(\gamma)\}$ .

*Proof of this claim.* Otherwise, choose  $\alpha \in \Phi^+(\gamma)$  that is not in  $\Delta(\gamma)$  with  $(\alpha, \gamma)$  minimal.

Then  $\alpha = \beta_1 + \beta_2$  for some  $\beta_1, \beta_2 \in \Phi^+(\gamma)$  so  $(\alpha, \gamma) = (\beta_1, \gamma) + (\beta_2, \gamma)$ .

As  $(\beta_i, \gamma) > 0$  the minimality of  $(\alpha, \gamma)$  implies that  $\beta_1, \beta_2 \in \mathbb{Z}_{\geq 0}$ -span $\{\beta \in \Delta(\gamma)\}$ .

But this means that  $\alpha = \beta_1 + \beta_2$  is also in the  $\mathbb{Z}_{\geq 0}$ -span of  $\Delta(\gamma)$ , which is a contradiction. ■

**Claim.** If  $\alpha, \beta \in \Delta(\gamma)$  and  $\alpha \neq \beta$  then  $(\alpha, \beta) \leq 0$ .

*Proof of this claim.* Otherwise  $\alpha - \beta \in \Phi$  and  $\beta \neq \pm\alpha$  so  $\alpha - \beta$  or  $\beta - \alpha$  is in  $\Phi^+(\gamma)$ .

But then  $\alpha = \beta + (\alpha - \beta)$  or  $\beta = \alpha + (\beta - \alpha)$  would be decomposable. ■

**Claim.** The set  $\Delta(\gamma)$  is linearly independent.

*Proof of this claim.* Suppose we can write

$$0 = \sum_{\alpha} c_{\alpha} \alpha - \sum_{\beta} d_{\beta} \beta$$

where  $\alpha, \beta$  range over disjoint subsets of  $\Delta(\gamma)$  and  $c_{\alpha}, d_{\beta} \geq 0$ . Then

$$0 \leq (\sum_{\alpha} c_{\alpha} \alpha, \sum_{\alpha} c_{\alpha} \alpha) = \left( \sum_{\alpha} c_{\alpha} \alpha, \sum_{\beta} d_{\beta} \beta \right) = \sum_{\alpha, \beta} \underbrace{c_{\alpha} d_{\beta}}_{\geq 0} \underbrace{(\alpha, \beta)}_{\leq 0} \leq 0$$

and so we must have all  $c_{\alpha} = 0$ . One similarly derives that all  $d_{\beta} = 0$ . ■

Combining the preceding claims shows that the set  $\Delta(\gamma)$  is a simple system for  $\Phi$ . Finally:

**Claim.** Every simple system in  $\Phi$  arises as  $\Delta(\gamma)$  for some regular  $\gamma \in E$ .

*Proof of this claim.* Given a simple system  $\Delta$  for  $\Phi$ , we need to find  $\gamma$  with  $\Delta = \Delta(\gamma)$ .

Exercise: one can always find a regular  $\gamma$  with  $(\gamma, \alpha) > 0$  for all  $\alpha \in \Delta$ .

Then  $\Phi^\pm = \Phi^\pm(\gamma)$  so every  $\alpha \in \Delta$  must be indecomposable with respect to  $\gamma$ .

This means  $\Delta \subseteq \Delta(\gamma)$ . As  $|\Delta| = |\Delta(\gamma)| = \dim(E)$ , must have  $\Delta = \Delta(\gamma)$ . ■

□

We refer to the elements of a simple system  $\Delta$  as *simple roots*.

The hyperplanes  $H_\alpha = \{v \in E : (v, \alpha) = 0\}$  for  $\alpha \in \Phi$  divide  $E$  into finitely many regions.

We call the connected components of  $E \setminus \bigcup_{\alpha \in \Phi} H_\alpha$  the *Weyl chambers* of  $E$ .

## 4 Properties of simple roots

Fix a simple system  $\Delta$  of  $\Phi$  and define  $\Phi^+$  and  $\Phi^- = -\Phi^+$  relative to  $\Delta$ .

We call the elements of  $\Phi^+$  *positive roots* and the elements of  $\Phi^-$  *negative roots*.

**Lemma.** If  $\alpha \in \Phi^+$  but  $\alpha \notin \Delta$  then  $\alpha - \beta \in \Phi^+$  for some  $\beta \in \Delta$ .

*Proof.* Suppose  $(\alpha, \beta) \geq 0$  for all  $\beta \in \Delta$ .

Then the argument for the third claim in the previous proof shows that  $\Delta \cup \{\alpha\}$  is linearly independent.

As this is impossible, must have  $(\alpha, \beta) > 0$  for some  $\beta \in \Delta$  and then  $\alpha - \beta \in \Phi$ .

Then  $\text{ht}(\alpha - \beta) = \text{ht}(\alpha) - 1 \geq 0$ .

This inequality must be strict since  $\alpha \neq \beta$  as  $\alpha \notin \Delta$ , so  $\alpha - \beta$  must be in  $\Phi^+$ . □

The next corollary follows from the previous lemma by induction:

**Corollary.** Each  $\alpha \in \Phi^+$  can be written  $\alpha = \alpha_1 + \alpha_2 + \cdots + \alpha_k$  where  $\alpha_i \in \Delta$  and where

$$\alpha_1 + \alpha_2 + \cdots + \alpha_j \in \Phi^+ \quad \text{for all } 1 \leq j \leq k.$$

**Lemma.** Let  $\alpha \in \Delta$ . Then  $r_\alpha(\alpha) = -\alpha$  and  $r_\alpha(\Phi^+ \setminus \{\alpha\}) = \Phi^+ \setminus \{\alpha\}$ .

*Proof.* The formula  $r_\alpha(\alpha) = -\alpha$  holds by the definition of  $r_\alpha$ .

Fix  $\beta \in \Phi^+ \setminus \{\alpha\}$  and write  $\beta = \sum_{\gamma \in \Delta} K_\gamma \cdot \gamma$  where  $K_\gamma \geq 0$ .

Note that  $\beta$  is not proportional to  $\alpha$ . Thus  $K_\gamma > 0$  for some  $\gamma \neq \alpha$ .

Then the coefficient of  $\gamma$  in  $r_\alpha(\beta) = \beta - \langle \beta, \alpha \rangle \alpha$  is also  $K_\gamma > 0$ .

Hence  $r_\alpha(\beta)$  must still be in  $\Phi^+$  since it is a valid root.

We cannot have  $r_\alpha(\beta) = \alpha$  since then  $\beta = r_\alpha(r_\alpha(\beta)) = r_\alpha(\alpha) = -\alpha \notin \Phi^+$ .

Thus  $r_\alpha$  restricts to a map  $\Phi^+ \setminus \{\alpha\} \rightarrow \Phi^+ \setminus \{\alpha\}$ .

This map is a bijection since  $\Phi^+ \setminus \{\alpha\}$  is a finite set and  $r_\alpha$  is invertible. □

**Corollary.** Set  $\delta = \frac{1}{2} \sum_{\beta \in \Phi^+} \beta$ . Then  $r_\alpha(\delta) = \delta - \alpha$  for all  $\alpha \in \Delta$ .

**Lemma.** Fix a sequence  $\alpha_1, \alpha_2, \dots, \alpha_m \in \Delta$  of (not necessarily distinct) simple roots.

Write  $r_i = r_{\alpha_i}$  and suppose  $r_1 r_2 \cdots r_{m-1}(\alpha_m) \in \Phi^-$ .

Then  $\boxed{r_1 r_2 r_3 \cdots r_m = r_1 \cdots r_{s-1} r_{s+1} \cdots r_{m-1}}$  for some index  $1 \leq s \leq m-1$ .

*Proof.* Set  $\beta_i = r_{i+1} r_{i+2} \cdots r_{m-1}(\alpha_m)$  with  $\beta_{m-1} = \alpha_m$ .

As  $\beta_0 \in \Phi^-$  and  $\beta_{m-1} \in \Delta \subset \Phi^+$  there is a smallest index  $s$  with  $\beta_s \in \Phi^+$ .

By definition  $r_s(\beta_s) = \beta_{s-1}$  so  $r_s(\beta_{s-1}) = \beta_s$  since  $r_s^2 = 1$ .

This means that  $\beta_s \in \Phi^+$  and  $r_s(\beta_s) \in \Phi^-$ , so we must have  $\beta_s = \alpha_s$  by the previous lemma.

Thus  $r_{\beta_s} = r_{\alpha_s} = r_s$ . Since  $\sigma r_\alpha \sigma^{-1} = r_{\sigma(\alpha)}$ , we deduce that

$$(r_{s+1} r_{s+2} \cdots r_{m-1}) r_m (r_{m-1} \cdots r_{s+2} r_{s+1}) = r_{r_{s+1} r_{s+2} \cdots r_{m-1}(\alpha_m)} = r_{\beta_s} = r_s.$$

The result now follows by substituting the left side of this equation for  $r_s$  in  $r_1 r_2 r_3 \cdots r_m$ . □

**Corollary.** Let  $\sigma = r_{\alpha_1} \cdots r_{\alpha_m}$  be an expression for  $\sigma \in W$  with  $m$  as small as possible, where  $\alpha_i \in \Delta$ .

Then we must have  $\sigma(\alpha_m) \in \Phi^-$ .

Recall:  $\Phi$  is a root system with Weyl group  $W$ .

**Proposition.** Any given  $\alpha \in \Phi$  belongs to some base of  $\Phi$ .

*Proof.* The hyperplanes  $H_\beta$  for  $\beta \in \Phi \setminus \{\pm\alpha\}$  are distinct from  $H_\alpha$ .

Thus we can choose  $\gamma \in H_\alpha$  with  $\gamma \notin H_\beta$  for all  $\beta \in \Phi \setminus \{\pm\alpha\}$  and then find a regular  $\gamma'$  close to  $\gamma$  with

$$(\gamma', \alpha) = \epsilon \quad \text{and} \quad (\gamma, \beta) > \epsilon$$

for all  $\beta \in \Phi \setminus \{\pm\alpha\}$ , for some arbitrarily small  $\epsilon > 0$ . Then we will have  $\alpha \in \Delta(\gamma')$ , □

Choose a simple system  $\Delta$  for  $\Phi$ .

**Theorem.** If  $\Delta'$  is a simple system for  $\Phi$  then there exists a unique element  $\sigma \in W$  with  $\sigma(\Delta') = \Delta$ .

Moreover, it holds that  $W = \langle r_\alpha : \alpha \in \Delta \rangle$ .

Recall that our initial definition of the Weyl group had more generators:  $W = \langle r_\alpha : \alpha \in \Phi \rangle$ .

*Proof.* Let  $\widetilde{W} = \langle r_\alpha : \alpha \in \Delta \rangle \subseteq W$ . We will show later that  $\widetilde{W} = W$ .

Let  $\delta = \frac{1}{2} \sum_{\alpha \in \Phi^+} \alpha$  and choose a regular  $\gamma \in E$  along with  $\sigma \in \widetilde{W}$  such that  $(\sigma(\gamma), \delta)$  is maximal.

If  $\alpha$  is simple then  $r_\alpha \sigma \in \widetilde{W}$  so our maximality assumption implies that

$$(\sigma(\gamma), \delta) \geq (r_\alpha \sigma(\gamma), \delta) = (\sigma(\gamma), r_\alpha(\delta)) = (\sigma(\gamma), \delta - \alpha) = (\sigma(\gamma), \delta) - (\sigma(\gamma), \alpha)$$

for all  $\alpha \in \Delta$ . Thus  $(\sigma(\gamma), \alpha) \geq 0$  for all  $\alpha \in \Delta$ .

Equality never holds since  $\gamma$  is regular and  $0 \neq (\gamma, \sigma^{-1}(\alpha)) = (\sigma(\gamma), \alpha)$ .

Hence  $(\sigma(\gamma), \alpha) > 0$  for all  $\alpha \in \Delta$ .

If  $\Delta'$  is a simple system then  $\Delta' = \Delta(\gamma)$  for some regular  $\gamma \in E$ .

So if we choose  $\sigma \in \widetilde{W}$  as above then  $\Delta = \Delta(\sigma(\gamma)) = \sigma^{-1}(\Delta(\gamma)) = \sigma^{-1}(\Delta')$ .

Thus for any simple system  $\Delta'$  there is some  $\sigma \in \widetilde{W} \subseteq W$  with  $\sigma(\Delta') = \Delta$ .

We now show that  $\widetilde{W} = W$ . It suffices to check that  $r_\alpha \in \widetilde{W}$  for all  $\alpha \in \Phi$ .

Given  $\alpha \in \Phi$ , choose a simple system  $\Delta'$  with  $\alpha \in \Delta'$  and then choose  $\sigma \in \widetilde{W}$  with  $\sigma(\Delta') = \Delta$ .

Set  $\beta = \sigma(\alpha) \in \Delta$ . Then  $r_\beta \in \widetilde{W}$  so since  $r_\beta = r_{\sigma(\alpha)} = \sigma r_\alpha \sigma^{-1}$  it also follows that  $r_\alpha = \sigma^{-1} r_\beta \sigma \in \widetilde{W}$ .

We conclude that  $\widetilde{W} = W$ .

Finally, we need to show that the  $\sigma \in \widetilde{W} = W$  with  $\sigma(\Delta') = \Delta$  is unique for a given simple system  $\Delta'$ .

Showing this is where we use the technical lemma above.

It is enough to prove that if  $\sigma \in W$  has  $\sigma(\Delta) = \Delta$  then  $\sigma = 1$ .

Assume  $\sigma(\Delta) = \Delta$  and write  $\sigma = r_1 r_2 \cdots r_m$  where  $r_i = r_{\alpha_i}$  for simple roots  $\alpha_1, \alpha_2, \dots, \alpha_m \in \Delta$ .

Let  $m$  be as small as possible.

If  $\sigma \neq 1$  then  $m > 0$  so by the corollary above we have  $\sigma(\alpha_m) \in \Phi^-$  so  $\sigma(\Delta) \neq \Delta \subseteq \Phi^+$ .

Thus the only way to have  $\sigma(\Delta) = \Delta$  is if  $m = 0$  and then  $\sigma = 1$ . □

Fix an ordering  $\alpha_1, \alpha_2, \alpha_3, \dots, \alpha_n$  of the roots in  $\Delta$ .

Here  $\Delta = \{\alpha_1, \dots, \alpha_n\}$  and  $\alpha_i \neq \alpha_j$  for  $i \neq j$ .

Abbreviate by writing  $r_j = r_{\alpha_j}$ .

We call a minimal-length expression  $\sigma = r_{\alpha_1} r_{\alpha_2} \cdots r_{\alpha_m}$  a *reduced expression* for  $\sigma \in W$ .

Set  $\ell(\sigma) = m$  and call this the *length* of  $\sigma$ .

**Proposition.** If  $\sigma \in W$  then  $\ell(\sigma) = |\{\alpha \in \Phi^+ : \sigma(\alpha) \in \Phi^-\}|$ . In particular,  $\ell(r_\alpha) = 1$  for all  $\alpha \in \Delta$ .

*Proof.* Use induction + our earlier lemmas; see the textbook for a detailed argument. □