

# Tree-Search Algorithms

March 30, 2010

## 1 Tree-Search

- Let  $G$  be a graph. If  $G$  has a spanning tree  $T$ , then  $G$  is connected.
- Let  $T$  be a tree of  $G$ . If  $V(T) = V(G)$ , then  $G$  is connected. If  $V(T) \subsetneq V(G)$ , then either  $[T, G - T] = \emptyset$  or  $[T, G - T] \neq \emptyset$ . In the former case,  $G$  is disconnected; in the latter case, for any edge  $e \in [T, G - T]$  with end-vertices  $u \in T, v \in T^c$ , the subgraph  $T \cup e$  is again a tree of  $G$ .
- Using the above idea, one may generate a sequence of trees in  $G$ , starting with the trivial tree consisting of a single vertex  $v_0$ , and terminating either with a spanning tree of  $G$  or with a non-spanning tree  $T$  with  $[T, G - T] = \emptyset$ . The procedure is called a **tree-search**, and the resulting tree is called a **search tree**.
- Let  $(T, v_0)$  be a rooted tree of  $G$ . Let  $P = v_0v_1 \cdots v_l$  be the unique path in  $T$  from  $v_0$  to a vertex  $v (= v_l)$ . Each vertex  $v_i$  of  $P$ , including  $v$  itself, is called an **ancestor** of  $v$  in  $T$ , and  $v$  is called a **descendant** of  $v_i$  in  $T$ . The vertex  $u (= v_{l-1})$  is called the **predecessor** (or **parent**) of  $v$ , denoted  $p(v)$ , and  $v$  is called a **successor** (or **child**) of  $u$ .
- There are two typical tree-searches: **Breadth-first search** (BFS) and **depth-first search** (DFS).

**Theorem 1.1** (Breath-First Search Tree). INPUT: a connected graph  $G = (V, E)$  with a specified vertex  $v_0$ .

OUTPUT: a rooted tree  $(T, v_0)$  with the root  $v_0$ , a vertex sequence  $P = v_0v_1 \cdots v_n$  with  $n = |V|$ , an index function  $\text{ind} : V \rightarrow \mathbb{N}$ , a parent function  $p : V - \{v_0\} \rightarrow V$ , and a level function  $\ell : V \rightarrow \mathbb{N}$  such that  $\ell(v) = d_G(v_0, v)$  for all  $v \in V$ .

STEP 1: Start with a vertex sequence  $Q := v_0$ , a root tree  $(T, v_0)$  consisting of the single vertex  $v_0$ ,  $\ell(v_0, v_0) := 0$ , and a vertex sequence  $P := \emptyset$ .

STEP 2: If  $Q = \emptyset$ , STOP.

If  $Q \neq \emptyset$ , delete the initial vertex of  $Q$ , say,  $u$ , add  $u$  to the end of  $P$ , and go to STEP 3.

STEP 3: If there are vertices  $w_1, w_2, \dots, w_k \in G - T$  adjacent with  $u$  by edges  $e_1, e_2, \dots, e_k$  respectively, add the edges  $e_1, e_2, \dots, e_k$  to  $T$ , the sequence  $w_1w_2 \cdots w_k$  to the end of  $Q$ , set  $\text{ind}(w_i) := \text{ind}(u) + i$ ,  $\ell(w_i) := \ell(u) + 1$ ,  $p(w_i) := u$ , where  $1 \leq i \leq k$ , and return to STEP 2.

If there are no vertices of  $G - T$  adjacent with  $u$ , return to STEP 2.

*Proof.* Initially,  $Q = v_0 \neq \emptyset$ . It is clear that in any step the subgraph  $T$  is a tree. The vertices of  $T$  can be ordered as a sequence  $PQ$ , where  $P$  is a subsequence having no vertices adjacent with a vertex of  $G - T$ . Since  $G$  is connected, if  $V(T) = V$ , then  $T$  is a spanning tree of  $G$ .

Now, if  $V(T) \subsetneq V$ , there are always vertices of  $T$  adjacent with some vertices of  $G - T$ . Let  $u$  be the first vertex in the sequence  $PQ$  that joins a vertex of  $G - T$ , and  $u$  is the initial vertex of  $Q$ ; we then enter into STEP 3. (Thus the algorithm continues, and finally stops when  $T$  is a spanning tree.) Note that  $w_i \notin Q$ ,  $w_i \notin T$ , and  $\ell(v) = d_T(v_0, v) \geq d_G(v_0, v)$  for all  $v \in T$ .

Now, we need only to show that  $\ell(w_i) = d_G(v_0, w_i)$ . We may assume that  $\ell(v) = d_G(v_0, v)$  for all  $v \in T$ . Since  $\ell(u) = d_G(v_0, u)$ , then  $d_G(v_0, w_i) \leq \ell(u) + 1 = \ell(w_i)$ . Let  $P_i = v_0v_1 \cdots v_d$  be a shortest path in  $G$  from  $v_0$  to  $v_d (= w_i)$ , and let  $v_j$  be the last vertex of  $P_i$  such that  $v_j \in T$ ,  $v_{j+1} \notin T$ . Of course,  $j \leq d - 1$ . We claim that  $\ell(v_j) \geq \ell(u)$ . (Otherwise, if  $\ell(v_j) < \ell(u)$ , then by Lemma 1.2,  $v_j$  enters  $Q$  before  $u$ . Subsequently,  $v_j$  leaves  $Q$  before  $u$ , and at the time that  $v_j$  leaves  $Q$ , the vertex  $v_{j+1}$  enters  $Q$ , i.e.,  $v_{j+1} \in T$  at the time that  $u$  leaves  $Q$ . This is a contradiction.) Thus

$$d \geq j + 1 = d_G(v_0, v_j) + 1 = \ell(v_j) + 1 \geq \ell(u) + 1 = \ell(w_i).$$

Therefore  $d = d_G(v_0, w_i) = \ell(w_i)$ . □

**Lemma 1.2.** *Let  $T$  be a BFS-tree of a connected graph  $G$ . Let  $Q$  be the queuing vertex sequence and  $u, v$  be two vertices.*

- (a) *If  $\ell(u) < \ell(v)$ , then  $u$  enters  $Q$  before  $v$ .*
- (b) *If  $u$  enters  $Q$  before  $v$ , then  $\ell(u) \leq \ell(v)$ .*
- (c) *If  $u, v$  are end-vertices of an edge  $e \notin T$ , then  $|\ell(u) - \ell(v)| \leq 1$ .*

*Proof.* (a) We proceed by induction on  $\ell(u)$ . When  $\ell(u) = 0$ , then  $u = v_0$  and  $v_0$  enters  $Q$  before every other vertex of  $V$ . Assume it is true when  $\ell(u) < l$ , and consider the case  $\ell(u) = l \geq 1$ . Let  $x, y$  be parents of  $u, v$  respectively in the rooted tree  $(T, v_0)$ . Then  $\ell(x) = \ell(u) - 1$  and  $\ell(y) = \ell(v) - 1$ . Clearly,  $\ell(x) < \ell(y)$ . By induction, the vertex  $x$  enters  $Q$  before  $y$ ; subsequently,  $x$  leaves  $Q$  before  $y$ . Now, note that  $u$  enters  $Q$  right after  $x$  leaves  $Q$ , and at that time the vertex  $v$  did not yet enter  $Q$ , for it is not yet the turn for  $y$  to leave  $Q$ . Thus  $u$  enters  $Q$  before  $v$ .

(b) is equivalent to (a).

(c) If  $\ell(u) = \ell(v)$ , nothing is to be proved. If  $\ell(u) \neq \ell(v)$ , we may assume  $\ell(u) < \ell(v)$ . Then  $u$  enters  $Q$  before  $v$ . At the time when  $u$  leaves  $Q$ , if  $v \notin Q$ , then  $\ell(v) = \ell(u) + 1$  by definition of  $\ell$ ; if  $v \in Q$ , let  $y$  be the parent of  $v$ , then  $y$  enters  $Q$  before  $u$  by definition of  $Q$ , hence  $\ell(y) \leq \ell(u)$ ; since  $\ell(u) < \ell(v) = \ell(y) + 1$ , we must have  $\ell(u) = \ell(y)$ ; hence  $\ell(v) = \ell(u) + 1$ . □

**Theorem 1.3** (Depth-First Search-Tree Algorithm). **INPUT:** a connected graph  $G = (V, E)$  with a specified vertex  $v_0$ .

**OUTPUT:** a rooted tree  $(T, v_0)$ , a closed walk  $W = v_0 e_1 v_1 \cdots e_{2n-2} v_{2n-2}$  with  $n = |V|$ , a multi-valued index function  $\text{ind} : V \rightarrow \mathbb{N}$ , a parent function  $p : V - \{v_0\} \rightarrow V$ , a degree function  $d : V \rightarrow \mathbb{N}$ , and two time functions  $f : V \rightarrow \mathbb{N}$ ,  $l : V \rightarrow \mathbb{N}$  such that  $f(v) \leq l(v)$  for all  $v \in V$ .

**STEP 1:** *Initialize a vertex variable  $x$  and a rooted tree  $(T, v_0)$  consisting of a single vertex  $v_0$ ; assign  $v_0$  to the variable  $x$ ; set  $W := v_0$ ,  $\text{ind}(x) := 0$ ,  $f(v_0) := 0$ ; then go to **STEP 2**.*

**STEP 2:** *If there are edges joining  $x$  to some vertices of  $G - T$ , select an edge  $e$  joining  $x$  to a vertex  $w \in G - T$ ; add  $ew$  to  $T$  and  $ew$  to the end of  $W$ ; set  $f(w) := \text{ind}(x) + 1$ ,  $p(w) := x$ ; assign  $w$  to  $x$  and set  $\text{ind}(x) := f(w)$ ; then return to **STEP 2**.*

*If there is no edge joining  $x$  to any vertex of  $G - T$ , set  $l(x) := \text{ind}(x)$ ; then go to **STEP 3**.*

**STEP 3:** *If  $x = v_0$ , set  $d(x) := \#\{i \mid v_i = x \text{ in } W\} - 1$ ; then **STOP**.*

*If  $x \neq v_0$ , set  $d(x) := \#\{i \mid v_i = x \text{ in } W\}$ ; backtrack from  $x$  to its parent  $u$  through an edge  $e$  in  $T$ ; add the word  $eu$  to the end of  $W$ , set  $\text{ind}(u) := \text{ind}(x) + 1$ ; assign  $u$  to  $x$  and set  $\text{ind}(x) := \text{ind}(u)$ ; then return to **STEP 2**.*

*Proof.* It is clear that at any stage the constructed subgraph  $T$  is always a tree and the algorithm stops eventually. When the algorithm reaches the stage  $l(v_0)$ , we have  $[v_0, G - T(v_0)] = \emptyset$ . Then  $[T_{v_0}(v_0), G - T(v_0)] = \emptyset$  by Lemma 1.4. Since  $T_{v_0}(v_0) = T_{v_0}$ , we have  $[T(v_0), G - T(v_0)] = \emptyset$ . Since  $G$  is connected, this means that  $T(v_0)$  is a spanning tree of  $G$ . □

The time functions  $f, l$  can be given by the walk  $W$  as follows:

$$f(v) = \min\{i \mid v = v_i \in W\}, \quad l(v) = \max\{i \mid v = v_i \in W\}, \quad v \in V.$$

**Lemma 1.4.** *Let  $W$  be a walk resulted by DFS-Tree Algorithm, having the end vertex  $v$  assigned to the variable  $x$ . Let  $T(x)$  denote the rooted tree produced by  $W$  at stage  $l(x)$ , i.e.,  $[x, G - T(x)] = \emptyset$ . For each vertex  $u$  of  $T(x)$ , let  $T_u(x)$  denote the rooted subtree of  $T(x)$  at  $u$  as the root. Then  $[T_x(x), G - T(x)] = \emptyset$ .*

*Proof.* We proceed by induction on the number of vertices of  $T_x(x)$ . It is true when  $T_x(x)$  contains only the vertex  $x$ , i.e., when  $x$  has no children in  $T(x)$ . Let  $w_1, w_2, \dots, w_k$  be all children of  $x$  in  $T(x)$ , been added to  $W$  in its current order. To have the vertex variable  $x$  at the vertex  $v$ , it must be backtracked from  $w_i$  to  $v$  in the order  $w_1, w_2, \dots, w_k$ . This means that  $[w_i, G - T(w_i)] = \emptyset$ . By induction,  $[T_{w_i}(w_i), G - T(w_i)] = \emptyset$ . Note that  $T_{w_i}(x) = T_{w_i}(w_i)$  and  $T(w_i) \subseteq T(x)$ . Thus

$$[T_x(x), G - T(x)] = \bigcup_{i=1}^k [T_{w_i}(x), G - T(x)] \subseteq \bigcup_{i=1}^k [T_{w_i}(w_i), G - T(w_i)] = \emptyset.$$

□

**Proposition 1.5.** Let  $(T, v_0)$  be a DFS-tree of a connected graph  $G$ . Let  $u, v$  be two vertices.

- (a) The vertex  $v$  is a descendant of  $u$  if and only if  $f(u) < f(v) \leq l(v) < l(u)$ .
- (b) If  $u, v$  are end-vertices of an edge  $e \notin T$ , then  $u$  is an ancestor or a descendant of  $v$  in  $T$ .
- (c) If  $f(u) < f(v)$ , then either  $l(v) < l(u)$  or  $l(u) < f(v)$ .

*Proof.* (a) Let  $v$  be a descendant of  $u$ , i.e.,  $v \in T_u$ . Then  $u$  enters  $W$  before  $v$ ; so  $f(u) < f(v)$ . When the vertex variable  $x$  is at  $v$ , to reach  $u$  again,  $x$  must be backtracked from  $v$ ; so  $l(v) < l(u)$ . Conversely, if  $f(u) < f(v) \leq l(v) < l(u)$ . Then  $v$  enters  $W$  after  $u$ . Suppose  $v$  is not a descendant of  $u$ , i.e.,  $v \notin T_u$ . Then  $v$  enters  $W$  after all vertices of  $T_u$ , i.e., after  $T_u$  is finished. So  $l(u) < f(v)$ ; this is a contradiction.

(b) We may assume  $f(u) < f(v)$ , i.e.,  $v$  enters  $W$  after  $u$ . Suppose  $v$  is not a descendant of  $u$ , i.e.,  $v \notin T_u$ . Then  $v$  enters  $W$  after all vertices of  $T_u$ . At stage  $l(u)$ , the tree  $T(u)$  does not contain  $v$ , i.e.,  $v \notin T(u)$ . This means that  $e \in [u, G - T(u)] \neq \emptyset$ ; the subtree  $T_u$  is not yet finished. This is a contradiction.

(c) Note that under the given condition  $f(u) < f(v)$ ,  $v \in T_u$  if and only if  $l(v) < l(u)$ . If  $l(v) < l(u)$  is not true, i.e.,  $v \notin T_u$ , then  $v$  enters  $W$  after  $T_u$  is finished; so  $l(u) < f(v)$ . □

**Corollary 1.6.** Let  $(T, v_0)$  be a DFS-tree of a connected graph  $G$ .

- (a) Any leaf of  $T$  cannot be a cut vertex of  $G$ .
- (b) The root  $v_0$  is a cut vertex of  $G$  if and only if  $v_0$  has at least two children in  $T$ .
- (c) A vertex  $v$  is a cut vertex of  $G$  if and only if  $v$  has a child  $w$  in  $T$  such that there is no edge between a proper ancestor of  $v$  to a descendant of  $w$ .

*Proof.* Trivially follows from Proposition 1.5(a). □

## 2 Minimum-Weight Spanning Tree

- Let  $G = (V, E)$  a graph together with a **weight function**  $w : E \rightarrow \mathbb{R}$  is called a **weighted graph**, denoted  $(G, w)$ . For each  $e \in E$ , the value  $w(e)$  is called the **weight** of  $e$ . The **weight** of  $G$  is the value

$$w(G) = \sum_{e \in E} w(e).$$

- A **minimum-weight spanning tree** (MST) of a weighted graph  $(G, w)$  is a spanning tree whose weight is minimum among all spanning trees of  $G$ .

**Theorem 2.1** (Prim's Algorithm). INPUT: a connected graph  $G = (V, E)$  with a weight function  $w : E \rightarrow \mathbb{R}$ .

OUTPUT: a minimum-weight spanning tree  $T$  of  $G$ .

STEP 1: Choose a vertex  $v$  of  $G$ , initialize a tree  $T$  consisting of the single vertex  $v$ ; and go to STEP 2.

STEP 2: If  $V(T) = V$ , STOP.

STEP 3: If  $V(T) \neq V$ , choose an edge  $e$  from the cut  $[T, G - T]$  such that  $w(e)$  is minimum in  $[T, G - T]$ , add  $e$  to  $T$ , and go to STEP 2.

*Proof.* It is clear that in STEP 3 the subgraph  $T \cup e$ , constructed by adding the edge  $e$  from  $[T, G - T]$  to the tree  $T$ , is still a tree. Finally, the trees  $T$  grow up to a spanning tree when the algorithm STOPS. We are left to show that the produced spanning tree is optimal. It is enough to show that at any stage the tree  $T$  is contained in an optimal spanning tree of  $G$ . We proceed by induction on the number of edges of  $T$ .

Initially, the tree  $T := v_0$  is obviously contained in an optimal spanning tree of  $G$ . Assume that in STEP 3 the tree  $T$  is contained in an optimal spanning tree  $T^*$ . Note that  $w(e) \leq w(x)$  for all  $x \in [T, G - T]$ . If  $e \notin T^*$ , then  $T^* \cup e$  contains a cycle  $C_e$  and  $e \in C_e$ . Since  $C_e$  intersects the cut  $[T, G - T]$ , there is an edge  $e' \in C_e \cap [T, G - T]$  other than  $e$ . Clearly, the spanning tree  $T^{**} := (T^* \cup e) \setminus e'$  contains  $T \cup e$ , and

$$w(T^{**}) = w(T^*) + w(e) - w(e') \leq w(T^*).$$

The optimality of  $T^*$  implies that  $w(T^{**}) = w(T^*)$ . Hence  $T^{**}$  is an optimal spanning tree which contains  $T \cup e$ . □

**Theorem 2.2** (Kruskal's Algorithm). INPUT: a connected graph  $G = (V, E)$  with a weight function  $w : E \rightarrow \mathbb{R}$ ,  $|V| = n$ .

OUTPUT: a minimum-weight spanning tree  $T$  of  $G$ .

STEP 1: Choose an edge  $e$  of  $G$  such that  $w(e)$  is minimum in  $E$ , initialize a subgraph  $T$  consisting of the single edge  $e$ , and go to STEP 2.

STEP 2: If  $|E(T)| = n - 1$ , STOP.

STEP 3: If  $|E(T)| < n - 1$ , choose an edge  $e$  from  $E - E(T)$  such that  $w(e)$  is minimum in  $E - E(T)$  and  $T \cup e$  contains no cycle, add  $e$  to  $T$ , and go to STEP 2.

*Proof.* It is enough to show that at any stage the subgraph  $T$  is contained in an optimal spanning tree of  $G$ . We proceed by induction on the number of edges of  $T$ .

Initially, the subgraph  $T := e_0$  contains the single edge  $e_0$  whose weight is minimum in  $E$ . Let  $T^*$  be an optimal spanning tree of  $G$ . If  $e_0 \notin T^*$ , then  $T^* \cup e_0$  contains a cycle  $C_{e_0}$ . Select an edge  $e_1$  from  $C_{e_0}$  other than  $e_0$ ; the spanning tree  $T^{**} := (T^* \cup e_0) \setminus e_1$  contains  $e_0$ . Since  $w(e_0) \leq w(x)$  for all  $x \in E$ , we have

$$w(T^{**}) = w(T^*) + w(e_0) - w(e_1) \leq w(T^*).$$

The optimality of  $T^*$  implies that  $w(T^{**}) = w(T^*)$ . So  $T^{**}$  is an optimal spanning tree of  $G$  and contains  $T$ .

Assume that in STEP 3 the subgraph  $T$  is contained in an optimal spanning tree  $T^*$ . Note that  $w(e) \leq w(x)$  for all  $x \in E - E(T)$ . If  $e \notin T^*$ , then  $T^* \cup e$  contains a unique cycle  $C_e$  and  $e \in C_e$ . Since  $T \cup e$  contains no cycle, the cycle  $C_e$  cannot be contained in  $T \cup e$ . Thus there exists an edge  $e' \in C_e$  such that  $e' \notin T$  and  $e' \neq e$ . Set  $T^{**} := (T^* \cup e) \setminus e'$ ; then  $T^{**}$  contains  $T \cup e$  and

$$w(T^{**}) = w(T^*) + w(e) - w(e') \leq w(T^*).$$

The optimality of  $T^*$  implies that  $w(T^{**}) = w(T^*)$ . Hence  $T^{**}$  is an optimal spanning tree of  $G$  and contains  $T \cup e$ .  $\square$

### 3 Branching-Search

**Theorem 3.1** (Dijkstra's Algorithm, Directed Breadth-First Search). INPUT: a digraph  $D = (V, A)$  with a specified vertex  $v_0$  and a positive weight function  $w : E \rightarrow \mathbb{R}_+$ .

OUTPUT: a  $v_0$ -branching  $(T, v_0)$  in  $D$ , a vertex sequence  $P = v_0 v_1 \cdots v_n$  with  $n = |V(T)|$ , an index function  $\text{ind} : V(T) \rightarrow \mathbb{N}$ , a level function  $\ell : V(T) \rightarrow \mathbb{N}$  such that  $\ell(v) = d_G(v_0, v)$  for all  $v \in V(T)$ , and a parent function  $p : V(T) - \{v_0\} \rightarrow V$ .

STEP 1: Start with a vertex sequence  $Q := v_0$ , a  $v_0$ -branching  $(T, v_0)$  consisting of the single vertex  $v_0$ , a vertex sequence  $P := \emptyset$ ,  $\text{ind}(v_0) := 0$ ,  $\ell(v_0, v_0) := 0$ ; and go to STEP 2.

STEP 2: If  $Q = \emptyset$ , STOP.

If  $Q \neq \emptyset$ , delete the initial vertex of  $Q$ , say,  $u$ , add  $u$  to the end of  $P$ , and go to STEP 3.

STEP 3: If  $(u, D - T)$  has arcs  $a_1, a_2, \dots, a_k$  with heads  $w_1, w_2, \dots, w_k$  in  $D - T$  respectively, add  $a_1, a_2, \dots, a_k$  to  $T$ , the sequence  $w_1 w_2 \cdots w_k$  to the end of  $Q$ ; set  $\text{ind}(w_i) := \text{ind}(u) + i$ ,  $\ell(w_i) := \ell(u) + 1$ ,  $p(w_i) := u$ , where  $1 \leq i \leq k$ ; and go to STEP 2.

If  $(u, D - T) = \emptyset$ , go to STEP 2.

*Proof.* Similar to the proof of Theorem 1.1.  $\square$

**Theorem 3.2** (Directed Depth-First Search). INPUT: a digraph  $D = (V, A)$ .

OUTPUT: a spanning branching forest  $F$  of  $D$  with a root set  $R$ , a closed walk  $W = v_0 e_1 v_1 \cdots e_{2n-r-1} v_{2n-r-1}$ , where  $n = |V|$  and  $r = |R|$ , a multi-valued index function  $\text{ind} : V \rightarrow \mathbb{N}$ , a parent function  $p : V - R \rightarrow V$ , a degree function  $d : V \rightarrow \mathbb{N}$ , and two time functions  $f : V \rightarrow \mathbb{N}$ ,  $l : V \rightarrow \mathbb{N}$  such that  $f(v) \leq l(v)$  for all  $v \in V$ .

STEP 1: Initialize  $F := \emptyset$ ,  $R := \emptyset$ ,  $W := \emptyset$ ,  $x := \emptyset$ ;  $\text{ind}(x) = -1$ ,  $f(x) := -1$ ; then go to STEP 2.

STEP 2: If  $G - F = \emptyset$ , STOP.

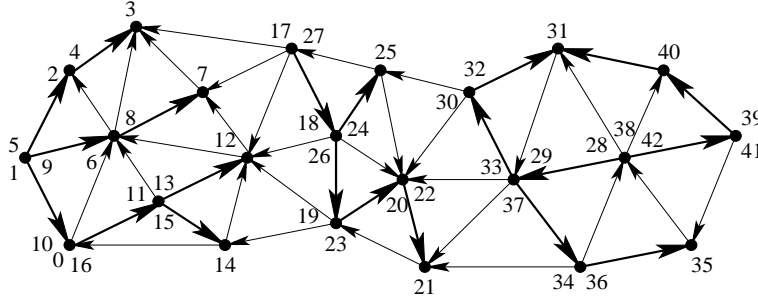
If  $G - F \neq \emptyset$ , choose a vertex  $u \in G - F$ ; add  $u$  to  $F, R$ , and to the end of  $W$ ; set  $f(u) := \text{ind}(x) + 1$ ; assign  $u$  to the vertex variable  $x$  and set  $\text{ind}(x) := f(u)$ ; then go to STEP 3.

STEP 3: If  $(x, G - F) \neq \emptyset$ , select an arc  $a$  from  $x$  to  $w \in G - F$ ; add  $a$  to  $F$  and the word  $aw$  to the end of  $W$ ; set  $p(w) := x$ ,  $f(w) := \text{ind}(x) + 1$ ; assign  $w$  to the vertex variable  $x$ , set  $\text{ind}(x) := f(w)$ ; then return to STEP 3.

If  $(x, G - F) = \emptyset$ , set  $l(x) := \text{ind}(v)$ ; then go to STEP 4.

STEP 4: If  $x = u$ , set  $d(x) := \#\{i \mid v_i = x \text{ in } W\} - 1$ ; go to STEP 2.

If  $x \neq u$ , set  $d(x) := \#\{i \mid v_i = x \text{ in } W\}$ , backtrack from  $v$  to its parent  $p(x)$  through an arc  $a$  in  $F$ , then add the word  $ap(x)$  to the end of  $W$ ; set  $\text{ind}(p(x)) := \text{ind}(x) + 1$ ; assign  $p(x)$  to the vertex variable  $x$ , set  $\text{ind}(x) := \text{ind}(p(x))$ ; and then go to STEP 3.



**Lemma 3.3.** *Let  $W$  be a walk resulted by the Directed DFS-Branching Forest algorithm, with the end vertex  $v$  assigned to the variable  $x$ . Let  $F(x)$  denote the branching forest produced by  $W$  at stage  $l(x)$ , i.e., the directed cut  $(x, G - F(x))$  is empty. For each vertex  $u$  of  $F(x)$ , let  $F_u(x)$  denote the branching of  $F(x)$  at  $u$  as the root. Then the directed cut  $(F_u(x), G - F(x))$  is also empty.*

*Proof.* We proceed by induction on the number of vertices of  $F_u(x)$ . It is true when  $F_u(x)$  has the only vertex  $x$ , i.e., when  $x$  has no children in  $F_u(x)$ . Let  $x$  have children  $w_1, w_2, \dots, w_k$  in  $F_u(x)$ , been added to  $W$  in its current order. For the variable to reach the vertex  $v$ , it must be backtracked from  $w_i$  to  $v$  in the order  $w_1, w_2, \dots, w_k$ . This means that the direct cuts  $(w_i, G - F(w_i))$  are empty. By induction, the directed cuts  $(F_{w_i}(w_i), G - F(w_i))$  are empty. Note that  $F_{w_i}(x) = F_{w_i}(w_i)$  and  $F(w_i) \subseteq F(x)$ . Thus we have directed cut

$$(F_u(x), G - F(x)) = \bigcup_{i=1}^k (F_{w_i}(x), G - F(x)) \subseteq \bigcup_{i=1}^k (F_{w_i}(w_i), G - F(w_i)) = \emptyset.$$

□

- Let  $F$  be a branching spanning forest of a digraph  $D$ . An arc  $a$  with tail  $u$  and head  $v$ , written  $a = (u, v)$ , is called a **forward arc** if  $u$  is an ancestor of  $v$  in  $F$ , a **back arc** if  $u$  is a descendant of  $v$  in  $F$ , and a **cross arc** if  $u$  is neither an ancestor nor a descendant of  $v$  in  $F$ .
- Cross arcs can be happened inside a branching tree component of a DFS-branching forest  $F$ .
- The branching components of  $F$  can be linearly ordered as  $T_1, T_2, \dots, T_r$  so that  $(T_i, T_j) = \emptyset$  for all  $i < j$ .

**Proposition 3.4.** *Let  $F$  be a DFS-branching forest of a digraph  $D$ . Let  $u, v \in V(D)$ ,  $F_u := F_u(u)$ , and  $(x, y)$  be an arc in  $D$ . Then*

- $v \in F_u \Leftrightarrow f(u) < f(v) \leq l(v) < l(u)$ .
- $F_u \cap F_v = \emptyset \Leftrightarrow f(u) \leq l(u) < f(v) \leq l(v)$  or  $f(v) \leq l(v) < f(u) \leq l(u)$ .
- $(x, y)$  is a forward arc  $\Leftrightarrow f(x) < f(y) \leq l(y) < l(x)$ .
- $(x, y)$  is a back arc  $\Leftrightarrow f(y) < f(x) \leq l(x) < l(y)$ .
- $(x, y)$  is a cross arc  $\Leftrightarrow f(y) \leq l(y) < f(x) \leq l(x)$ .

*Proof.* (a) and (b) follow from Lemma 3.3; and (c), (d), (e) follow from (a) and (b). □

**Proposition 3.5.** *Let  $F$  be a DFS-branching forest of a digraph  $D$ . If  $C$  is a strong component of  $D$ , then  $F \cap C$  is a spanning branching of  $C$ .*

*Proof.* Let  $x$  be a vertex of  $C$  such that  $f(x)$  is smallest in  $C$ . Let  $F_x$  be the sub-branching of  $F$  generated by  $x$ . We first claim that  $F_x \cap C$  is a branching with the root  $x$ . In fact, for each vertex  $v \in F_x \cap C$ , let  $P_{xv}$  be the unique directed path from  $x$  to  $v$  in  $F_x$ . Since  $C$  is a strong component of  $D$ , then  $C \cup P_{xv}$  is also strong. Thus  $P_{xv}$  is contained in  $C$ ; subsequently,  $P_{xv}$  is contained in  $F_x \cap C$ . So  $v$  is connected to  $x$  in  $F_x \cap C$ . This means that  $F_x \cap C$  is a branching.

Now it suffices to show that  $V(F_x \cap C) = V(C)$ . Suppose  $V(F_x \cap C) \neq V(C)$ . Take a vertex  $y \in V(C) - V(F_x \cap C)$ ; clearly,  $y \in C$  and  $y \notin F_x$ . We claim that  $C$  has no arc from  $F_x$  to  $D - F_x$ . In fact, there is no arc in  $C$  from  $F_x$  to  $F(x) - F_x$ , where  $F(x)$  is the branching forest generated by the Directed DFS Algorithm at time  $l(x)$ . (Otherwise, if  $(u, v) \in C$  is an arc from  $F_x$  to  $F(x) - F_x$ , then the vertex  $v$  enters  $W$  before  $x$ ; thus  $v \in C$  and  $f(v) < f(x)$ ; this is contradict to the choice of  $x$ .) By virtue of the Directed DFS Algorithm, there is no arc from  $F_x$  to  $D - F(x)$ ; of course  $C$  has no arc from  $F_x$  to  $D - F(x)$ . It follows that  $C$  has no arc from  $F_x$  to  $D - F_x$ . Since  $x, y \in C$ , there is a directed path  $P$  in  $C$  from  $x$  to  $y$ . Since  $x \in F_x$  and  $y \notin F_x$ ,  $P$  has an arc from  $F_x$  to  $D - F_x$ ; so is  $C$ . This is contradict to that  $C$  has no arc from  $F_x$  to  $D - F_x$ . Hence  $V(F_x \cap C) = V(C)$ . This means that  $F_x \cap C = F \cap C$ .  $\square$

**Remark.** Let  $D$  be a digraph. (a) Applying Directed DFS to find a spanning forest  $F$  of  $D$ .

(b) Delete all cross edges of  $D$  between branching components of  $F$  and reverse the orientations of all remaining edges to produce a subdigraph  $\tilde{D}$ .

(c) For each branching component  $(T, v)$  of  $F$  with the root  $v$ , let  $\tilde{D}(T)$  denote the subdigraph of  $\tilde{D}$ , induced by  $V(T)$ . Applying Directed BFS or DFS to find a branching  $(\tilde{T}, v)$  rooted at  $v$ . Then the subdigraph  $D(V(\tilde{T}))$  is a strong component of  $D$  containing the vertex  $v$ .

(d) Delete  $\tilde{D}(V(\tilde{T}))$  from  $\tilde{D}$ , select another branching of  $\tilde{D} - \tilde{D}(V(\tilde{T}))$ ; repeating the above procedure to find another strong component of  $D$ .