

# MATH 1003 Calculus and Linear Algebra (Lecture 20)

Maosheng Xiong  
Department of Mathematics, HKUST



## Increasing and Decreasing Functions

### Definition

Suppose  $y = f(x)$ .

1.  $f(x)$  is **increasing** on an interval  $a < x < b$ , if for any  $a < x_1 < x_2 < b$ ,  $f(x_1) < f(x_2)$ .
2.  $f(x)$  is **decreasing** on an interval  $a < x < b$ , if for any  $a < x_1 < x_2 < b$ ,  $f(x_2) < f(x_1)$ .



## Increasing and Decreasing Functions

### Example

Determine the interval for which the function  $f(x) = x^2$  is increasing.

### Solution

- ▶ From the graph of  $y = x^2$ , it is obvious that the function is increasing when  $x > 0$  and decreasing when  $x < 0$ .
- ▶ Notice that the slope of the tangent is positive when  $f$  is increasing, and is negative when  $f$  is decreasing.



## Increasing and Decreasing Functions

### Theorem

For the interval  $a < x < b$ ,

1.  $f(x)$  is an increasing function on  $a < x < b$  if  $f'(x) > 0$  on  $a < x < b$ .
2.  $f(x)$  is a decreasing function on  $a < x < b$  if  $f'(x) < 0$  on  $a < x < b$ .



## Increasing and Decreasing Functions

### Example

Given the function  $f(x) = 8x - x^2$ ,

- Which value(s) of  $x$  correspond to horizontal tangent line?
- For which values of  $x$  is  $f(x)$  increasing? Decreasing?

### Solution

$f'(x) = 8 - 2x$ . Therefore,  $f'(x) = 0$  implies  $x = 4$  i.e. The tangent line is horizontal at  $x = 4$ .

By the theorem,  $f$  is increasing when  $f'(x) > 0$  and decreasing when  $f'(x) < 0$ . Therefore,

- ▶  $f$  is increasing when  $x < 4$ .
- ▶  $f$  is decreasing when  $x > 4$ .



## Critical Numbers

### Definition

The values of  $x$  in the domain of  $f$  where

- $f'(x) = 0$ , or
- $f'(x)$  does not exist

are called the **critical numbers** of  $f$ .

### Remark

The critical number(s) is(are) the point(s) that partition the number line into intervals, on which  $f'(x)$  is either positive or negative i.e.  $f$  is either increasing or decreasing.



## Critical Numbers

### Example

Find the critical number(s) for the following  $f$ , and determine the interval(s) where  $f$  is increasing and those where  $f$  is decreasing.

- $f(x) = 1 - x^3$
- $f(x) = (1 + x)^{1/3}$
- $f(x) = 8 \ln x - x^2$



## Critical Numbers

### Solution

(a)  $f'(x) = -3x^2$

$$f'(x) = 0 \Rightarrow x = 0$$

$x = 0$  is the critical number, and  $f(x)$  is decreasing everywhere.

(b)  $f'(x) = (1 + x)^{-2/3}/3$

$$f'(x) \text{ does not exist} \Rightarrow x = -1$$

$x = -1$  is the critical number, and  $f(x)$  is an increasing function for all  $x$ .



## Solution

(c) The **domain** of  $f(x)$  is  $x > 0$ , and  $f'(x) = 8/x - 2x$ .

$$f'(x) = 0 \Rightarrow x = 2,$$

$f'(x)$  does not exist  $\Rightarrow x = 0$  ( **but not in the domain of  $f(x)$** ).

Thus  $x = 2$  is the critical number and  $f(x)$  is increasing between 0 and 2 and decreasing when  $x > 2$ .

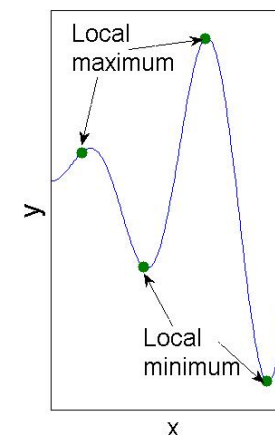


## Definition

Given a function  $f(x)$ ,

1. We call  $f(c)$  is a **local maximum** if there exists an interval  $a < x < b$  containing  $c$  such that  $f(x) \leq f(c)$  for all  $x$  in  $a < x < b$ .
2. We call  $f(c)$  is a **local minimum** if there exists an interval  $a < x < b$  containing  $c$  such that  $f(c) \leq f(x)$  for all  $x$  in  $a < x < b$ .

The quantity  $f(c)$  is called a **local extrema** if it is either a local maximum or local minimum.



## Theorem

If  $f$  is continuous on the interval  $a < x < b$ ,  $c$  is a number in  $a < x < b$  and  $f(c)$  is a local extremum, then either

1.  $f'(c) = 0$ , or
2.  $f'(c)$  does not exist.

That is, a local extremum can occur only at a critical value.

## Remark

The theorem does not imply that every critical value produces a local extremum! (Think of  $f(x) = x^3$  at  $x = 0$ .)



We now present a method to classify whether a critical value is a local extrema:

1.  $f(c)$  is a **local minimum** if  $f'(x)$  changes from negative to positive at  $c$ . ( $- \cdot +$ )
2.  $f(c)$  is a **local maximum** if  $f'(x)$  changes from positive to negative at  $c$ . ( $+ \cdot -$ )
3.  $f(c)$  is **not a local extrema** if  $f'(x)$  does not change the sign at  $c$ . ( $+ \cdot +$ ) or ( $- \cdot -$ )

This is called **the first-derivative test**.



# First-Derivative Test

## Example

Given  $f(x) = x^3 - 9x^2 + 24x - 10$ .

- (a) Find the critical value(s) of  $f$ .
- (b) Find the local maxima and minima.

## Solution for (a)

$f'(x) = 3x^2 - 18x + 24$ . Obviously,  $f'(x)$  exists for all values of  $x$ . Therefore, the critical values occur when

$$3x^2 - 18x + 24 = 3(x - 2)(x - 4) = 0.$$

The critical values are 2 and 4.



# First-Derivative Test

## Solution for (b)

Using the critical values obtained in (a), we can construct the sign chart for  $f'(x)$ :



$f$  is increasing when  $x < 2$  or  $x > 4$  and is decreasing when  $2 < x < 4$ . Therefore, we have the following results:

- ▶  $f$  has a local maximum at  $x = 2$ .
- ▶  $f$  has a local minimum at  $x = 4$ .



# First-Derivative Test

## Example

Find the critical values, the interval(s) where  $f(x)$  is increasing, the interval(s) where  $f(x)$  is decreasing, and the local extrema of the following functions

- (a)  $f(x) = \frac{9}{x} + x$
- (b)  $f(x) = \frac{x^2}{x + 1}$
- (c)  $f(x) = 3(x - 2)^{2/3} + 2$ .
- (d)  $f(x) = (x - 1)e^{-x}$



# Exercises

## Solution

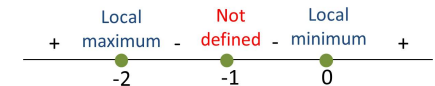
(a)

$$f'(x) = 1 - 9/x^2.$$



(b)

$$f'(x) = \frac{x^2 + 2x}{(x + 1)^2}.$$



(c)

$$f'(x) = 2(x - 2)^{-1/3}.$$



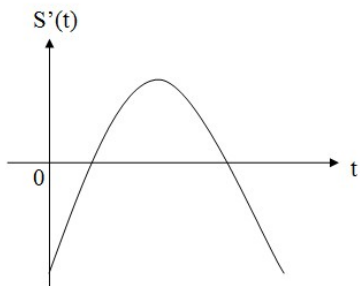
(d)

$$f'(x) = (2 - x)e^{-x}.$$



## Example

The graph in below approximates the rate of change of the U.S. share of the total world production of motor vehicles over a 20-years period, where  $S(t)$  is the U.S. share and  $t$  is time.



- Find all the local extrema (if any).

