## The Geometric Cone Relations of Polyhedra

Beifang Chen \* and Min Yan  $^{\dagger}$ 

Department of Mathematics Hong Kong University of Science and Technology Clear Water Bay, Kowloon, Hong Kong

Let P be a d-dimensional polytope of the Euclidean space  $\mathbb{R}^n$ . For each  $i = -1, 0, \dots, d-1$ , let  $f_j(P)$  denote the number of j-faces of P. The empty set  $\emptyset$ , usually denoted  $\hat{0}$ , is the unique face of P of dimension -1. It is well known that the Dehn-Sommervile equations

$$f_i(P) = \sum_{j=i}^{d-1} (-1)^{d-1-j} {j+1 \choose i+1} f_j(P), i = -1, \cdots, d-1$$
(1)

are the only linear relations on the f-vectors  $(f_{-1}, f_0, f_1, \dots, f_{d-1})$  of convex polytopes [B]. These relations are naturally generalized by using cone functions in [Ch]. The numerical form of these cone relations by taking certain integration over the unit ball of  $\langle P \rangle$  are the angle-sum relations

$$f_i(P) - \alpha_i(P) = \sum_{j=i}^{d-1} (-1)^{d-1-j} {j+1 \choose i+1} \alpha_j(P), i = -1, \cdots, d-1 \qquad (2)$$

The purpose of this note is to generalize these relations to simplicial complexes and cubical complexes both in cone functions and angles.

Throughout we denote by  $\mathbf{V}$  a finite dimensional vector space over an ordered field  $\mathbf{F}$  with an inner product  $\langle, \rangle$ . The topology of  $\mathbf{V}$  is that of generated by the order topology of the ordered field  $\mathbf{F}$ . The *indicator* function of a subset E of  $\mathbf{V}$  is the characteristic function  $1_E$  on  $\mathbf{V}$ , i.e.,  $1_E(x) = 1$  for  $x \in E$  and  $1_E(x) = 0$  otherwise. By a *polyhedra* we mean a subset of  $\mathbf{V}$  which can be obtained by taking unions, intersections, and complements

<sup>\*</sup>Research is supported by HKUST 595/94P.

<sup>&</sup>lt;sup>†</sup>Research is supported by HKUST 632/94P.

finitely many times of open half-spaces  $\{x \in \mathbf{V} | \varphi(x) < 0\}$ , where  $\varphi$  is a linear function on  $\mathbf{V}$ . The interior of a convex polyhedron in the affine subspace that it spans is called a *relatively open* convex polyhedron. We use  $\mathcal{P}$  to denote the class of all relatively open convex polyhedra.

Let P be a relatively open polytope of  $\mathbf{V}$ . A relatively open polytope F is said to be a *face* of P if the closure  $\overline{F}$  of F is a face of  $\overline{P}$  in the ordinary sense, and this is denoted by  $F \leq P$  or  $P \geq F$ . We shall consider the *interior* cone C(F, P) and *exterior* cone  $C^*(F, P)$  of P near its face F, which are defined by

$$C(F,P) = \{ v \in \mathbf{V} \mid \exists x \in F, y \in P, t > 0 \text{ s.t. } tv = y - x \},$$
$$C^*(F,P) = \{ v \in V \mid \langle u, v \rangle \le 0, \forall u \in C(F,P) \}$$

Note that when F = P, C(F, F) is a vector subspace of dimension dim F, and is denoted  $\langle F \rangle$ . The *intrinsic* interior cone  $C^{\wedge}(F, P)$  in [B, M2] and exterior cone  $C^{\vee}(F, P)$  of P near its face F are also considered and they are defined by

$$C^{\wedge}(F,P) = \langle F \rangle^{\perp} \cap C(F,P),$$
$$C^{\vee}(F,P) = C^{*}(F,P) \cap \langle P \rangle.$$

We denote the indicator functions of the cones C(F, P),  $C^*(F, P)$ ,  $C^{\wedge}(F, P)$ , and  $\operatorname{ri}(C^{\vee}(F, P))$  by T(F, P), K(F, P), A(F, P), and B(F, P), respectively. These functions on  $\mathbf{V}$  are the elements of the Minkowski algebra  $S(\mathbf{V}, \mathcal{P})$ which is the vector space generated by the indicator functions of members of  $\mathcal{P}$ , and the multiplication is the convolution induced by the vector addition of  $\mathbf{V}$ . There is a linear functional  $\chi$ , called the *Euler characteristic*, on  $S(\mathbf{V}, \mathcal{P})$  such that  $\chi(1_P) = (-1)^{\dim P}$  for each relatively open convex polyhedron. There are three useful operators, reflection -, closure, and dual \* on  $S(\mathbf{V}, \mathcal{P})$ , defined by

$$f^{-}(x) = \chi(f \cdot 1_{\{-x\}}), \forall x \in \mathbf{V},$$
$$\bar{f}(x) = \lim_{r \to 0} \chi(f \cdot 1_{B(x,r)}), \forall x \in \mathbf{V},$$
$$f^{*}(x) = \chi(f \cdot 1_{\{v \in \mathbf{V} \mid \langle x, v \rangle \leq 0\}}), \forall x \in \mathbf{V},$$

where B(x, r) is the closed ball of radius r centered at x.

Let X be a polyhedron of V. A regular decomposition of X is a collection  $\mathcal{D}$  of disjoint relatively open convex polyhedra such that  $X = \bigcup_{P \in \mathcal{D}}$  and the

collection  $\mathcal{F}(X, \mathcal{D}) = \{G | G \leq P \in \mathcal{D}\}$  is also disjoint. The set  $\mathcal{F} = \mathcal{F}(X, \mathcal{D})$ is called the *face system* of X with respect to the decomposition  $\mathcal{D}$ . Note that X is closed if and only if  $\mathcal{F}(X, \mathcal{D}) = \mathcal{D}$ . In fact,  $\mathcal{F}$  is a regular decomposition of  $\bar{X}$ , the closure of X. The cone C(F, X) of X near a face  $F \in \mathcal{F}(X, \mathcal{D})$  is the disjoint union of  $C(F, \mathcal{D}) = \{C(F, G) | F \leq G \in \mathcal{D}\}$ . C(F, X) is a closed cone if and only if  $F \in \mathcal{D}$ . The face system of  $C(F, \mathcal{D})$  is isomorphic to the face poset  $\check{F} = \{G \in \mathcal{F} | F \leq G\}$  of X near F. Let f be an incidence function with values in the commutative ring R, i.e., f(F, P) = 0 if F is not a face of P, we associate with f another incidence function f', defined by

$$f'(F,P) = (-1)^{\dim P - \dim F} f(F,P), \forall F \le P.$$

For each face  $F \in \mathcal{F}(X, \mathcal{D})$  and  $j = 0, 1, \dots, \dim X$ , we define

$$f(F,X) = \sum_{F \le G \in \mathcal{D}} f(F,G),$$

$$f_j(X) = \sum_{F \in \mathcal{F}, \dim F = j} f(F, X).$$

For example, if f is the function T, then T(F, X) is the characteristic function of the tangent cone of X near F, and the  $T_j(X)$  is the sum of the characteristic functions of tangent cones of X near its all j-dimensional faces.

**Proposition 1** Let X be a polyhedron with a regular decomposition  $\mathcal{D}$ . Then

$$\sum_{F \le G \in \mathcal{F}} (-1)^{\dim G} T(G, X) = \bar{T}(-F, -X) = \bar{T}^{-}(F, X)$$
(3)

*Proof* For each pair (G, P) of relatively open faces such that  $F \leq G \leq P \in \mathcal{D}$ , it claer that C(G, P) = C(C(F, G), C(F, P)). Then C(G, X) = C(C(F, G), C(F, X)). So the left side of  $(\ref{eq: Constraint})$  can be written as

$$\sum_{C(F,F) \le C(F,G) \in C(F,\mathcal{F})} (-1)^{\dim C(F,G)} T(C(F,G), C(F,X)).$$

With the generalized Gram-Sommerville theorem [Ch1] and the definition of the cone near  $\infty$ , the left side of (??) is then equal to

$$\chi(C(F,X))1_{\{o\}} - \sum_{C(F,F) \leq C(F,P) \in C(F,\mathcal{D})} T_{C(F,P)}(\infty).$$

Note that  $C(F, \mathcal{F})$  is in one-to-one correspondent with  $\check{F}$  and dim  $C(F, G) = \dim G$  for every  $G \in \check{F}$ . We have

$$\chi(\check{F})1_{\{o\}} - \sum_{F \le P \in \mathcal{D}} \left[ (-1)^{\dim P} - (-1)^{\dim P} 1_{C(\infty, C(F, P))} \right].$$

Since C(F, P) are convex cones, then  $C(\infty, C(F, P)) = -cl[C(F, P)]$ . So the left side of (??) becomes

$$\sum_{F \le P \in \mathcal{D}} (-1)^{\dim P} \mathbb{1}_{-\operatorname{cl}[C(F,P)]} = \sum_{F \le P \in \mathcal{D}} \bar{T}(-F,-P) = \bar{T}(-F,-\check{F}).$$
Q.E.D.

Now we take the sum on both sides of (??) over all *i*-dimensional faces of X, the left side can be written as

$$\sum_{G \in \mathcal{F}, \dim G \ge i} (-1)^{\dim G} \sum_{F \le G, \dim F = i} T(G, X)$$
$$= \sum_{j=i}^{\dim X} \sum_{G \in \mathcal{F}, \dim G = j} (-1)^j \binom{j+1}{i+1} T(G, X)$$
$$= \sum_{j=i}^{\dim X} (-1)^j \binom{j+1}{i+1} T_j(X).$$

Then we have obtain the geometric cone relations for an arbitrary polyhedron of the following theorem.

**Theorem 2** Let X be a polyhedron with a regular decomposition  $\mathcal{D}$ , then for each  $i = 0, 1, \dots, \dim X$ ,

$$\bar{T}_i^-(X) = \sum_{j=i}^{\dim X} (-1)^j \binom{j+1}{i+1} T_j(X).$$
(4)

Q.E.D.

Let the closure operator act on (??). We then have

## **Proposition 3**

$$\sum_{F \le G \in \mathcal{F}} (-1)^{\dim G} \bar{T}(G, X) = T(-F, -X) = T^{-}(F, X).$$
(5)

Q.E.D.

If we take the sum again on both sides of (??) over all *i*-dimensional faces of X, we obtain the geometric relations with closed tangent cones.

**Theorem 4** If X is a polyhedron with a regular decomposition  $\mathcal{D}$ , then for each  $i = 0, 1, \dots, \dim X$ ,

$$T_i^{-}(X) = \sum_{j=i}^{\dim X} (-1)^j {j+1 \choose i+1} \bar{T}_j(X).$$
(6)

Q.E.D.

With the generalized Gauss-Bonnet formula [Ch] there are dual versions of (??) and (??). These formulas can be obtained by applying the dual operator \* to both sides of the (??). Note that  $(1_C)^* = (-1)^{\dim C} 1_{C^*}$  for any relatively open convex polyhedral cone C. Then

$$T^{*}(F, P) = (-1)^{\dim P} \bar{K}(F, P)(-1)^{\dim C^{*}(F, P)}$$
  
=  $(-1)^{\dim V + \dim P - \dim F} \bar{K}(F, P)$   
=  $(-1)^{\dim V} \bar{K}'(F, P).$ 

$$\bar{T}^{*}(F,P) = (-1)^{\dim P} \sum_{F \le G \le P} T^{*}(F,G)$$

$$= (-1)^{\dim V - \dim P} \sum_{F \le G \le P} \bar{K}'(F,G)$$

$$= (-1)^{\dim P - \dim F} K(-F,-P)$$

$$= K'^{-}(F,P).$$

Thus we have the following prosition for exterior cones functions.

**Proposition 5** For any polyhedron with a regular decomposition  $\mathcal{D}$ ,

$$\sum_{F \le G \in \mathcal{F}} (-1)^{\dim G} \bar{K}'(G, X) = (-1)^{\dim V} K'(F, X).$$
(7)

When we apply the closure operator on both sides of (??) we have

**Proposition 6** For any polyhedron X with a redular decomposition  $\mathcal{D}$ ,

$$\sum_{F \le G \in \mathcal{F}} (-1)^{\dim G} K'(G, X) = (-1)^{\dim V} \bar{K}'(F, X).$$
(8)

We thus have the exterior cone function relations.

**Theorem 7** If X is a polyhedron with a simplicial decomposition, then for each  $i = 0, 1, \dots, \dim X$ ,

$$\sum_{j=i}^{\dim X} (-1)^j \binom{j+1}{i+1} K'_j(X) = (-1)^{\dim V} \bar{K}'_i(X).$$
(9)

$$\sum_{j=i}^{\dim X} (-1)^j \binom{j+1}{i+1} \bar{K}'_j(X) = (-1)^{\dim V} K'_i(X).$$
(10)

We consider numerical angles of these tangent cones of X.

Let C be a k-dimensional relatively open convex cone of V. The angle  $\alpha(C)$  of C is defined as the normalized k-dimensional Lebesgue measure of C in the unit ball of  $\langle C \rangle$ , i.e.,

$$\alpha(C) = \operatorname{vol}_k(C \cap B(o, 1)) / \operatorname{vol}_k(B(o, 1)).$$

For each relatively open polyheron pair  $F \leq P$ , we denote the angles of the cones C(F, P) and  $C^*(F, P)$  by  $\alpha(F, P)$  and  $\beta(F, P)$  respectively. Note that the angles  $C^{\wedge}(F, P)$  and  $C^{\vee}(F, P)$  are the same as  $\alpha(F, P)$  and  $\beta(F, P)$ .

For a single relatively open convex polyhedron  $P \in \mathcal{D}$  such that  $F \leq P$ , as a special case of the equation (), we have

$$\sum_{F \le G \le P} (-1)^{\dim G} \overline{T}(G, P) = T^-(F, P).$$

Integrate both sides of the equation above, we then have

$$\sum_{F \le G \le P} \alpha'(G, P) = \alpha(F, P).$$

For each  $F \in \mathcal{F}(X, \mathcal{D})$ , if the tangent cone functions of X near F are defined as

$$\alpha(F,X) = \sum_{F \le P \in \mathcal{D}} \alpha(F,P),$$

$$\alpha'(F,X) = \sum_{F \le P \in \mathcal{D}} \alpha'(F,P),$$

we thn have the proposition.

## **Proposition 8**

$$\sum_{F \le G \in \mathcal{F}} \alpha'(G, X) = \alpha(F, X).$$
(11)

Let the face F in (??) be extended over all *i*-dimensional faces of X, we then have

**Theorem 9** Let X be a polyhedron with a simplicial decomposition  $\mathcal{D}$ , then for  $i = 0, 1, \dots, \dim X$ ,

$$\alpha_i(X) = \sum_{j=i}^{\dim X} {j+1 \choose i+1} \alpha'_j(X).$$

## References

- B. F. Chen, The Gram-Sommerville and Gauss-Bonnet theorems and combinatorial geometric measures for noncompact polyhedra, Adv. in Math. 91(1992), 269-291.
- [2] B. F. Chen, The incidence algebra of polyhedra and Minkowski algebra, *Adv. in Math.*, to appear.
- [3] B. F. Chen, The Minkowski algebra of convex sets, preprint of MIT, May 1992.

- [4] B. Grünbaum, "Convex polytopes," John Wiley & Sons, Ltd., New York, 1967.
- [5] P. McMullen, Angle-sum relations for polyhedral sets, *Mathematika* 33 (1986), 173-188.
- [6] M. A. Perles and G. C. Shephard, Angle sums of convex polytopes, Math. Scand. 2 (1967), 198-218.
- [7] S. Schanuel, Negative sets have Euler characteristic and dimension, Lecture Notes in mathematics, no. 1488, Springer-Verlag, New York, 1991.